

**2011\_12 DATABASE TECHNICAL NOTE**

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<p><b>FRS 2011-12</b> Technical note</p>
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<b>SUBJECT</b>	<b>BENEFITS Version 2010_11</b>		
<b>ISSUED BY</b>	<b>K. ANDERTON / A. FRYER</b>		
<b>REVISION</b>	<b>R. Chung</b>	<b>07/07/2008</b>	<b>(2007_08 update)</b>
	<b>R. Chung</b>	<b>19/12/2008</b>	<b>(2007_08 update)</b>
	<b>R. Chung</b>	<b>24/07/2009</b>	<b>(2008_09 update)</b>
	<b>C. Lord</b>	<b>25/06/2010</b>	<b>(2009_10 update)</b>
	<b>I. Herring</b>	<b>09/12/2010</b>	<b>(2009_10 update rev)</b>
	<b>I. Herring</b>	<b>13/12/2011</b>	<b>(2010_11 update)</b>
	<b>I. Herring</b>	<b>09/07/2012</b>	<b>(2011/12 update)</b>

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**SUMMARY**

This note summarises the structure of the data relating to benefits held in Version 2011/12 of the FRS database and is intended to help users accessing the benefits data.

**QUESTIONNAIRE**

In the questionnaire each adult is asked to identify benefits received from a series of lists. After each list the interviewer asks a series of detailed questions about each benefit. This pattern is followed generally but there are a number of exceptions. For example, only women are asked about Maternity Benefit and as there can only be one woman in a benefit unit there is only one 'slot' for the answers. Additionally some types of benefit are not included in the lists but are asked about separately (e.g. Housing Benefit).

**ANALYSIS DATABASE**

The details recorded about each type of benefit have been organised into a standard tabular form. Each benefit received occupies a row of the BENEFITS table. The variables have been rationalised so that the same information about each benefit is held in the same column of field, even if the original question was different. Table 1 (below) shows the relationship between the yes/no question asking about receipt of a certain benefit on the ADULT table and the corresponding benefit key value on the BENEFITS table.

**KEY FIELDS**

Each row in the benefit table is uniquely defined by the following key fields:-

<b>SERNUM</b>	Unique serial number for the household
<b>BENUNIT</b>	The benefit unit number within the household
<b>PERSON</b>	The person number within the household
<b>BENEFIT</b>	The benefit key value is a code that identifies the type of benefit received, e.g. 19 = Income Support

## 2011\_12 DATABASE TECHNICAL NOTE

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**N.B.** NatCen Social Research, the contractors responsible for carrying out the fieldwork, have "BenType Codeframe" within their programs, which uses codes to identify Benefit Types. Although this was originally the same as our Benefit Key Value, they have since diverged; Benefit Key Value should, therefore, be used in all instances. **Table 1 (below) also lists the code allocated to each benefit type.**

### DATA FIELDS

The following data fields exist for each row in the benefit table. Each benefit will not record information in all fields. A more complete description of the routing for variables associated with each benefit is given in the spreadsheet [FRS 2011\\_12 Benefits.xls](#).

**N.B.** Except where otherwise stated, the Data Field has the same name as the question within the questionnaire (Rule 1). Exceptions to this rule have their Data Field name changed to be consistent e.g. the question GOVAMT would produce an answer in the variable BENAMT.

BANKSTMT Asks whether a bank statement can be consulted (Yes/No).

BENAMT In the database the variable holds the weekly amount of benefit last received for all benefits. However, on the questionnaire other variable names are used for certain benefits. These exceptions are:

CTCAMT	Child Tax Credit (regular payment)
CTCLPAY	Child Tax Credit (lump sum)
EXBENAMT1	Extended Housing Benefit
EXBENAMT2	Extended Council Tax Benefit
EXBENAMT3	Extended Housing & Council Tax Benefit (combined)
GOVAMT	Total amount DWP pays directly for services, as defined in GOVPAY
HIPAMT	Health in Pregnancy Grant
LOANAMT	Social Fund : Budgeting Loan or Crisis Loan
PRGAMT	For Government Training, as answered to question TRAIN
REPAYAMT	Social Fund : Loan repayment from JSA/ESA or IS/PC
SFGRAMT	Social Fund : Community Care Grant
WIDPAMT	Widow's payment – lump sum
WTCAMT	Working Tax Credit (regular payment)
WTCLPAY	Working Tax Credit (lump sum)

**N.B.** The amount has been converted to a **weekly** value, apart from Lump Sums in the following benefits:

- 23 Health in Pregnancy Grant
- 31 Trade Union sick/strike pay
- 32 Friendly Society Benefits
- 33 Private sickness scheme benefits
- 34 Accident insurance scheme benefits
- 35 Hospital savings scheme benefits
- 51 Rcpt last 6 months: Child Maintenance Bonus/Premium
- 60 Bereavement Payment

## 2011\_12 DATABASE TECHNICAL NOTE

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61	<i>Unemployment/Redundancy Insurance</i>
62	<i>Winter Fuel Payment</i>
81	<i>Permanent health insurance</i>
82	<i>Any other sickness insurance</i>
83	<i>Critical Illness Cover and Grants</i>

BENAMTDK If the answer to BENAMT above is "DON'T KNOW", is it because the benefit is paid in combination with another, and that a separate amount cannot be established (Yes/No)?

BENLETR Whether a benefit letter was consulted (Yes/No)?

BENPD On the database, BENPD holds the period covered by the original answer to the BENAMT question for all benefits. So, for example, BENAMT will always hold a weekly equivalent amount if the corresponding value of BENPD represents a period (i.e. in range 1-52). However, on the questionnaire other variable names are used for certain benefits. These exceptions are :

CTCPD	Child Tax Credit
EXBENPD	Extended Housing Benefit and/or Council Tax Benefit
GOVPD	DWP direct payments for IS and JSA customers
HIPPD	Health in Pregnancy Grant
PRGPD	Government Training Allowance recipients
WTCPD	Working Tax Credit

CCTC Does this include a Child Care Tax Credit to help pay for child care expenses (Yes/No)?

COMBAMT Holds the combined total value of benefits when the values of individual benefits are not known.

COMBPD Period code for COMBAMT

HOWBEN How is the benefit paid? (1= Direct to bank/building society/Post Office account, 2= Girocheque, 3= Paid directly for Motability, 4=other).

NOTUSAMT The amount *usually* received converted to a weekly period if different from the amount *last* received. (Question producing this is BUSAMT in the questionnaire). This question is only asked if the respondent states that the amount given in BENAMT is not usual (USUAL=2).

NOTUSPD The original period the usual amount covered before being converted to a weekly amount. (Question producing this is BUSAMT in the questionnaire (Period code answer - Symbolic 1...52). This question is only asked if the respondent states that the amount given in BENAMT is not usual (USUAL=2).

NUMWEEKS This holds the number of weeks in the last 12 months that the benefit has been received. (Integer 0...52). (If NUMYEARS =1, NUMWEEKS value can range from 1-104.) In the questionnaire there are different variable names for certain benefits. The exceptions are:-

ESAWEEKS for Employment and Support Allowance, Integer 0-97

JSAWEEKS for Jobseeker's Allowance, Integer 0-97

ISWEEKS for Income Support or Pension Credit, Integer 0-97

- NUMYEARS For Employment and Support Allowance, Income Support, Jobseeker's Allowance and Pension Credit only, this holds the number of years the respondent has been in receipt. If less than two (NUMYEARS=1), NUMWEEKS is also asked.
- PRES Asks whether presently receiving the benefit?
- USUAL For Retirement Pension, Pension Credit, Widow's Pension/Bereavement Allowance, Widowed Mothers/Parents Allowance, Employment and Support Allowance, Jobseeker's Allowance and Income Support, the interviewee is asked if the amount last received was the usual amount ('yes', 'no' or 'no such thing as a usual amount')
- VAR1 - VAR3 Some questions only apply to individual benefits. Instead of creating separate fields for each different question, these questions share the three fields Var1 to Var3. The fields hold data as follows (Benefit Key Values precede benefit types).
1. ***Disability Living Allowance (Care component)***

VAR1 Holds the response to GetICA  
is there anyone getting Carers Allowance for you? (1=Yes, 2=No)

[For recipients of State Retirement Pension or Widow's Pension](#)

VAR2 DC - Is the Care component of DLA paid as part of your pension or do you receive it separately? (1=With pension, 2=Separately)
  2. ***Disability Living Allowance (Mobility component)***

[For recipients of State Retirement Pension or Widow's Pension](#)

VAR2 DM - Is the Mobility component of DLA paid as part of your pension or do you receive it separately? (1=With pension, 2=Separately)
  4. ***Pension Credit***

VAR1 Holds the response to 'contains PC Guaranteed Element'

VAR2 Holds the response to 'contains PC Savings Element'
  5. ***Retirement Pension***

VAR1 BUSAMTDK – When benefit amount is not usual (USUAL=2) and usual amount is not known (NOTUSAMT=.B), the respondent is asked if it is unknown because it is combined with one or more other benefit?
  6. ***Widow's Pension / Bereavement Allowance***

VAR1 BUSAMTDK – When benefit amount is not usual (USUAL=2) and usual amount is not known (NOTUSAMT=.B), the respondent is asked if it is unknown because it is combined with one or more other benefit?

VAR2 1 = Widow's Pension,  
2 = Bereavement Allowance,  
3 = neither

7. **Widowed Parents / Mothers Allowance**

VAR1 BUSAMTDK – When benefit amount is not usual (USUAL=2) and usual amount is not known (NOTUSAMT=.B), the respondent is asked if it is unknown because it is combined with one or more other benefit?

VAR2 1 = Widowed Mothers,  
2 = Widowed Parents,  
3 = neither

12. **Attendance Allowance**

VAR1 Holds the response to GetICA  
is there anyone getting Carers Allowance for you?

[For recipients of State Retirement Pension or Widow's Pension](#)

VAR2 AA - Is Attendance Allowance paid as part of your pension or do you receive a separate pension? 1 = Together with pension,  
2 = Separate payment,  
or  
3 = Together with pension (imputed),  
4 = Separate payment (imputed)

13. **Carers Allowance**

VAR3 ICAPer - Who is the person, you care for, that qualifies you for this allowance?

14. **Jobseeker's Allowance**

VAR1 BUSAMTDK – When benefit amount is not usual (USUAL=2) and usual amount is not known (NOTUSAMT=.B), the respondent is asked if it is unknown because it is combined with one or more other benefit?

VAR2 JSATYPE – There are two types of Jobseeker's Allowance.  
Is your allowance 1 = Contributory,  
2 = Income Based  
or  
3 = Contributory (Imputed),  
4 = Income Based (Imputed)

16. **Employment and Support Allowance**

VAR1 BUSAMTDK – When benefit amount is not usual (USUAL=2) and usual amount is not known (NOTUSAMT=.B), the respondent is asked if it is unknown because it is combined with one or more other benefit?

VAR2 ESATYPE – There are two types of Employment and Support Allowance.  
Is your allowance       1 = Contributory  
                                    2 = Income based  
                                    or  
                                    3 = Contributory               (Imputed)  
                                    4 = Income Based               (Imputed)

17.     **Incapacity Benefit**

VAR1 MADEMP - Are you getting 'made up' pay from employer? (1=Yes, 2=No)

VAR2 MDUPWK - Are you paid every week? (1=Yes, 2=for only some weeks)

VAR3 MDUPNO - For how many weeks are you getting 'made-up' pay? (0-97)

19.     **Income Support**

VAR1 BUSAMTDK – When benefit amount is not usual (USUAL=2) and usual amount is not known (NOTUSAMT=.B), the respondent is asked if it is unknown because it is combined with one or more other benefit?

VAR3 MAINT – Can I just check, does your Income Support include any payment from the Child Support Agency for your child(ren)? (1=Yes, 2=No)

25.     **Social Fund - Community Care Grant**

VAR1 SFGRNUM - How many such grants have you received in the last 6 months?

39.     **Social Fund - Budgeting Loan**

VAR1 LOANNUM - How many Social Fund loans do you have at the moment? (Integer 1..7)

40.     **Social Fund - Crisis Loan**

VAR1 LOANNUM - How many Social Fund loans do you have at the moment? (Integer 1..7)

42.     **Future: DLA Self Care**

VAR1 WHOCFOR – For who has the future claim for DLAc been made?

43.     **Future: DLA Mobility**

VAR1 WHOMFOR – For who has the future claim for DLAm been made?

65. **DWP Payments (Income Support / Pension Credit recipients)**

VAR2 GOVBEPOR - Just now, you said that you got [£ amount] Income Support, Pension Credit last time. Was that *BEFORE* or *AFTER* taking off what the DWP pay for directly?

1 = BEFORE deducting what DWP pay for directly  
(i.e. respondent's **gross** entitlement)

2 = AFTER deducting what DWP pay for directly  
(i.e. **net** amount received by respondent)

66. **DWP Payments (Jobseeker's Allowance/ Employment and Support Allowance recipients)**

VAR2 GOVBEPOR - Just now, you said that you got [£ amount] Jobseeker's Allowance, Employment and Support Allowance last time. Was that *BEFORE* or *AFTER* taking off what the DWP pay for directly?

1 = BEFORE deducting what DWP pay for directly  
(i.e. respondent's **gross** entitlement)

2 = AFTER deducting what DWP pay for directly  
(i.e. **net** amount received by respondent)

69. **Social Fund Loan: Repayment (Income Support/Pension Credit recipients)**

VAR2 SFINC - Just now you said that you got [£ amount] of Income Support, Pension Credit last time. Was that *BEFORE* or *AFTER* taking off your Social Fund loan repayments?

1 = BEFORE taking off amount for loan repayment

2 = AFTER taking off amount for loan repayment

70. **Social Fund Loan: Repayment (Jobseeker Allowance/Employment and Support recipients)**

VAR2 SFINC - Just now you said that you got [£ amount] of Jobseeker's Allowance, Employment and Support Allowance last time. Was that *BEFORE* or *AFTER* taking off your Social Fund loan repayments?

1 = BEFORE taking off amount for loan repayment

2 = AFTER taking off amount for loan repayment

78. **Extended Housing / Council Tax Benefit (separate or combined)**

VAR1 EXTHBCTB - Did you receive an extended payment of Housing Benefit, or Council Tax Benefit, or both?

IF 'BOTH' were they paid together, or separately?

1 = Housing Benefit only

- 2 = Council Tax Benefit only
- 3 = HB and CTB paid together
- 4 = HB and CTB paid separately

VAR2 NIEXTHBB - As above for Northern Ireland respondents

79. **Extended Housing / Council Tax Benefits (separate or combined)**

VAR1 EXTHBCTB - Did you receive an extended payment of Housing Benefit, or Council Tax Benefit, or both?

IF 'BOTH' were they paid together, or separately?

- 1 = Housing Benefit only
- 2 = Council Tax Benefit only
- 3 = HB and CTB paid together
- 4 = HB and CTB paid separately

90. **Working Tax Credit**

VAR1 WTCD – Does the payment include a disability element?

92. **Working Tax Credit (Lump Sum)**

VAR1 WTCD – Does the payment include a disability element?

## SUBSIDIARY TABLES

### GOVPAY TABLE

Holds the items that the DWP pay directly, each row in the table represents one item, the individual amounts are not asked. The rows are uniquely identified by the following key fields :-

SERNUM	Unique serial number for the household
BENUNIT	The benefit unit number within the household
PERSON	The person number within the household
BENEFIT	The benefit type is either 65 (Payments from IS/PC) or 66 (Payment from JSA/ESA)
GOVPAY	Item type i.e.:- <ol style="list-style-type: none"><li>1. Mortgage Interest</li><li>2. Rent Arrears</li><li>3. Fees for nursing homes</li><li>4. Gas or electricity bills</li><li>5. Service charges for heating or fuel</li><li>6. Water charges (GB)</li><li>7. Council Tax arrears (GB)</li></ol>



8. Rates Arrears (NI)
9. Fines
10. Personal or Commercial loan repayments
11. Maintenance payments
12. Item not known

### **BENEFIT EXISTENCE FIELDS**

Accessing the BENEFITS table and looking for relevant rows can check for the existence of benefits. Additionally, it is possible to check whether an adult is in receipt of a benefit, using the ADULT table. The original responses to the Benefit list questions are held in the ADULT table each field representing one Benefit. The fields are listed in Table 1. The possible values in each field are the standard converted multiple choice responses:

- |     |                               |
|-----|-------------------------------|
| 1   | Yes                           |
| 2   | No                            |
| 3   | All responses in set are 'No' |
| - 1 | Not applicable / skipped      |
| - 9 | Don't Know                    |
| - 8 | Refused to answer             |
| - 2 | Not known / unable to derive  |

### **ADDITIONAL RESPONSES**

In addition to benefit details there are some additional questions concerning future benefits. These are held in the BENEFITS or ADULT table and are as follows:

#### **BENEFITS Table**

WHOREC1 - 5      These questions are asked of those people claiming DLA (Care), DLA (Mobility) and Attendance Allowance, or will claim any of these benefits in the future, as outlined below (Person Number -Symbolic 1..20,97). The benefits can be received for up to 5 people. The responses appear in the BENEFITS table.

#### **ADULT Table**

B2QFUT1 - 3      Are asked of those people current not in receipt of Disability Living Allowance (Care and/or Mobility Components), or Attendance Allowance to establish if they are awaiting a decision on the application. The responses appear in the ADULT table.

2011\_12 DATABASE TECHNICAL NOTE

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B3QFUT1 - 7

Are asked of those people current not in receipt of JSA, IS/MIG, IB, MA, IIDB, PC or ESA who are awaiting a decision on the application. The responses appear in the ADULT table.

**TABLE 1 BENEFIT CODES**

<b>Question on Adult table</b>	<b>Benefits table key</b>	<b>Benefit Description</b>
B2QFUT1	42	Future : DLA ( Care component )
B2QFUT2	43	Future : DLA ( Mobility component )
B2QFUT3	44	Future : Attendance Allowance
BEN1Q1	3	Child Benefit
BEN1Q2	37	Guardian's Allowance
BEN1Q3	13	Carer's Allowance
BEN1Q4	5	Retirement or Old Person's Pension
BEN1Q5	6	Bereavement Benefit includes Bereavement or Widowed Parents Allowance
BEN1Q6	8 or 9	Armed Force's Compensation Scheme or War Widow(er)'s Pension
BEN1Q7	10	Severe Disablement Allowance
BEN2Q1	1	DLA ( Care component )
BEN2Q2	2	DLA ( Mobility component )
BEN2Q3	12	Attendance Allowance
BEN3Q1	14	Jobseeker's Allowance
BEN3Q2	19	Income Support
BEN3Q3	17	Incapacity Benefit
BEN3Q4	21	Maternity Allowance
BEN3Q5	15	Industrial Injury Disability Benefit
BEN3Q6	4	Pension Credit
BEN3Q7	16	Employment and Support Allowance

2011\_12 DATABASE TECHNICAL NOTE

BEN4Q1	24	Social Fund Loan : Funeral Grant
BEN4Q2	22	Social Fund Loan : Maternity Grant
BEN4Q3	25	Social Fund Loan : Community Care Grant
BEN4Q4	23	Health in Pregnancy Grant
BEN5Q1	78, 79, 80	Extended Housing Benefit, Council Tax Benefit, Rent or Rate rebate
BEN5Q2	60	Widow's Payment – lump sum
BEN5Q3	51	Receipt last 6mths – Child Maintenance Bonus / Premium
BEN5Q4	52	Receipt last 6mths – Job Grant ( <i>formerly Lone Parent Benefit Run-on</i> )
BEN5Q5	46	Receipt last 6mths – In Work Credit
BEN5Q6	47	Receipt last 6mths – Return to Work Credit
BEN5Q7	30	Receipt last 6mths – Any other State or N.I. benefit
BEN7Q1	61	Unemployment / Redundancy Insurance
BEN7Q2	31	Trade Union Strike / Sick Pay
BEN7Q3	33	Private Sickness Scheme Benefits
BEN7Q4	34	Accident Insurance Scheme Benefits
BEN7Q5	81	Permanent Health Insurance
BEN7Q6	35	Hospital Savings Scheme Benefits
BEN7Q7	32	Friendly Society Benefits
BEN7Q8	83	Critical Illness Cover
BEN7Q9	82	Any other sickness insurance
CTCLUM1	93	CTC - lump sum under £105 covering whole year
CTCLUM2	91	CTC - regular payment via bank, PO or giro
EXTHBCT1	78	Extended HB – Housing Benefit paid separately
EXTHBCT2	79	Extended CTB – Council Tax Benefit paid separately
EXTHBCT3	80	Extended HBCTB – Housing & Council Tax combined or 'don't know'
GOVPIS	65	DWP 3 <sup>rd</sup> party payments – made on behalf of IS/PC recipients

2011\_12 DATABASE TECHNICAL NOTE

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GOVPJSA	66	DWP 3 <sup>rd</sup> party payments – made on behalf of JSA/ESA recipients
GTA	36	Government Training Allowance
NDEAL	20	Currently participating in New Deal 50+
SFLNTYP1	39	Social Fund Loan : Budgeting
SFLNTYP2	40	Social Fund Loan : Crisis
SFRPIS	69	Social Fund Loan : repayment from IS/PC recipients
SFRPJSA	70	Social Fund Loan : repayment from JSA/ESA recipients
SFTYPE2	25	Social Fund Loan : Community Care Grant
TAXCRED1	90 or 92	Working Tax Credit : weekly or lump sum
TAXCRED2	91 or 93	Child Tax Credit : weekly or lump sum
WAR1	8	In receipt : Armed Force's Compensation Scheme
WAR2	9	In receipt : War Widow(er)'s Pension
WPBA	6	Widow's Pension / Bereavement Allowance
WPA	7	Widowed Parents / Mothers Allowance
WTCLUM1	92	WTC – lump sum under £105 covering whole year
WTCLUM2	90	WTC – regular payment via bank, PO or giro