

V2009_10 DATABASE TECHNICAL NOTE

FRS 2009-10**Technical note**

SUBJECT	BENEFITS Version 2009_10		
ISSUED BY	K. ANDERTON / A. FRYER		
REVISION	S. Day	05/01/1995, 07/06/1995	
	S. Marriott	18/10/1996	(V32 update)
	M. Hussain	28/05/1998	(V33 update)
	M. Hussain	27/01/1999	(V34 update)
	A. White	05/06/2000	(V35 update)
	N. Butt	19/09/2000	(V36 update)
	E. Horsfall	24/04/2001	(2000_01 update)
	I. Herring	27/08/2002	(2001_02 update)
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	R. Chung	08/06/2007	(2006_07 update)
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	R. Chung	19/12/2008	(2007_08 update)
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	C. Lord	25/06/2010	(2009_10 update)
	I. Herring	09/12/2010	(2009_10 update)

SUMMARY

This note summarises the structure of the data relating to benefits held in Version 2009-10 of the FRS database and is intended to help users accessing the benefits data.

QUESTIONNAIRE

In the questionnaire each adult is asked to identify benefits received from a series of lists. After each list the interviewer asks a series of detailed questions about each benefit. This pattern is followed generally but there are a number of exceptions. For example only women are asked about Maternity Benefit and as there can only be one woman in a benefit unit there is only one 'slot' for the answers. Additionally some types of benefit are not included in the lists but are asked about separately (e.g. Housing Benefit).

ANALYSIS DATABASE

The details recorded about each type of benefit have been organised into a standard tabular form. Each benefit received occupies a row of the BENEFITS table. The variables have been rationalised so that the same information about each benefit is held in the same column of field, even if the original question was different. Table 1 shows the relationship between the yes/no question asking about receipt of a certain benefit on the ADULT table and the corresponding benefit key value on the BENEFITS table.

KEY FIELDS

Each row in the benefit table is uniquely defined by the following key fields:-

SERNUM	Unique serial number for the household
BENUNIT	The benefit unit number within the household
PERSON	The person number within the household
BENEFIT	The benefit key value is a code that identifies the type of benefit received (e.g. 19 = Income Support)

N.B. National Centre for Social Research, the contractors responsible for carrying out the fieldwork, have "BenType Codeframe" within their programs, which uses codes to identify Benefit Types. Although this was originally the same as our Benefit Key Value, they have since diverged; Benefit Key Value should, therefore, be used in all instances. **Table 1 also lists the code allocated to each benefit type.**

DATA FIELDS

The following data fields exist for each row in the benefit table. Each benefit will not record information in all fields. A more complete description of the routing for variables associated with each benefit is given in the spreadsheet [FRS 2009_10 Benefits mapping.xls](#).

N.B. Except where otherwise stated, the Data Field has the same name as the question within the questionnaire (Rule 1). Exceptions to this rule have their Data Field name changed to be consistent e.g. the question GOVAMT would produce an answer in the variable BENAMT.

BANKSTMT	Asks whether a bank statement can be consulted (Yes/No).
BENAMT	<u>In the database</u> the variable holds the weekly amount of benefit last received for <u>all</u> benefits. However, <u>on the questionnaire</u> other variable names are used for certain benefits. These exceptions are :
GOVAMT	<i>total amount DWP pays directly for services, as defined in GOVPAY</i>
PRGAMT	<i>for Government Training, as answered to question TRAIN</i>
SFGRAMT	<i>Social Fund : Community Care Grant</i>
EXBENAMT1	<i>extended Housing Benefit</i>
EXBENAMT2	<i>extended Council Tax Benefit</i>
EXBENAMT3	<i>extended Housing & Council Tax Benefit (combined)</i>
LOANAMT	<i>Social Fund : Budgeting Loan or Crisis Loan</i>
WIDPAMT	<i>Widow's payment – lump sum</i>
WTCAMT	<i>Working Tax Credit (regular payment)</i>
WTCLPAY	<i>Working Tax Credit (lump sum)</i>
CTCAMT	<i>Child Tax Credit (regular payment)</i>
CTCLPAY	<i>Child Tax Credit (lump sum)</i>
REPAYAMT	<i>Social Fund : Loan repayment from JSA or IS/PC</i>

N.B. The amount has been converted to a **weekly** value, apart from Lump Sums in the following benefits

- 31 Trade Union sick/strike pay
- 32 Friendly Society Benefits
- 33 Private sickness scheme benefits
- 34 Accident insurance scheme benefits
- 35 Hospital savings scheme benefits
- 51 Rcpt last 6 months: Child Maintenance Bonus/Premium
- 60 Bereavement Payment
- 61 Unemployment/Redundancy Insurance
- 62 Winter Fuel Payment
- 81 Permanent health insurance
- 82 Any other sickness insurance
- 83 Critical Illness Cover and Grants. (Float 0..997.00)

BENAMTDK If the answer to BENAMT above is "DON'T KNOW", is it because the benefit is paid in combination with another, and that a separate amount cannot be established (Yes/No)?

BENLETTR Whether a benefit letter was consulted (Yes/No)?

BENPD On the database, holds the period covered by the original answer to the BENAMT question for all benefits. So, for example, BENAMT will always hold a weekly equivalent amount if the corresponding value of BENPD represents a period (i.e. in range 1-52). However, on the questionnaire other variable names are used for certain benefits. These exceptions are :

- GOVPD *DWP direct payments for IS and JSA customers*
- PRGPD *Government Training Allowance recipients, Period code answer - Symbolic 1...97*
- EXBENPD *Extended Housing Benefit and/or Council Tax Benefit*
- WTCPD Working Tax Credit
- CTCPD Child Tax Credit

CCTC Does this include a Child Care Tax Credit to help pay for child care expenses (Yes/No)?

COMBAMT Holds the combined total value of benefits when the values of individual benefits are not known.

COMBBK Are there any other benefits paid using the same benefit book (Yes/No)?

COMBPD Period code for COMBAMT

HOWBEN How is the benefit paid? (1= Order book, 2=Direct to bank/building society account, 3=Giro cheque, 4=Benefit Payment Card, 5=other).

NOTUSAMT The amount usually received converted to a weekly period if different from the amount last received. (Question producing this is BUSAMT in the questionnaire) (Float 0..997.00). This question is only asked if the respondent states that the amount given in BENAMT is not usual (USUAL=2).

NOTUSPD The original period the usual amount covered before being converted to a weekly amount. (Question producing this is BUSAMT in the questionnaire (Period code answer - Symbolic 1...52). This question is only asked if the respondent states that the amount given in BENAMT is not usual (USUAL=2). If the answer to USUAL is YES the BENAMT and BENPD responses are copied across to the above two fields so that they always hold the usual amounts to simplify retrievals

NUMWEEKS This holds the number of weeks in the last 12 months that the benefit has been received. (Integer 0..52). It is only asked when the question PRES is also asked about. In the questionnaire there are different variable names for certain benefits. The exceptions are:-

JSAWEEKS for Jobseeker's Allowance, Integer 0-97

ISWEEKS for Income Support or Pension Credit, Integer 0-97

NUMYEARS For Income Support, Jobseeker's Allowance and Pension Credit only, this holds the number of years the respondent has been receiving. If less than two (NUMYEARS=1), NUMWEEKS is also asked.

PRES Asks whether presently receiving the benefit?

TTBPRX This is an estimate of the sum of benefit received by the person, excluding CouncilTax Benefit and Housing Benefit.

USUAL For some NI Retirement Pension, Widow's Pension, Widowed Mothers Allowance, Jobseeker's Allowance and Income Support, the interviewee is asked if the amount last received was the usual amount (yes, no or no such thing as a usual amount)

VAR1 - VAR3 Some questions only apply to individual benefits. Instead of creating separate fields for each different question, these questions share the three fields Var1 to Var3. The fields hold data as follows (Benefit Key Values precede benefit types).

1. ***Disability Living Allowance (Care component)***

VAR1 Holds the response to GetICA
is there anyone getting Carers Allowance for you? (1=Yes, 2=No)

[For recipients of State Retirement Pension or Widow's Pension](#)

VAR2 DC - Is the Care component of DLA paid as part of your pension or do you receive it separately? (1=With pension, 2=Separately)

2. ***Disability Living Allowance (Mobility component)***

VAR1 Holds the response to GetICA
is there anyone getting Carers Allowance for you? (1=Yes, 2=No)

[For recipients of State Retirement Pension or Widow's Pension](#)

VAR2 DM - Is the Mobility component of DLA paid as part of your pension or do you receive it separately? (1=With pension, 2=Separately)

4. ***Pension Credit***

VAR1 Holds the response to 'contains PC Guaranteed Element'

VAR2 Holds the response to 'contains PC Savings Element'

VAR3 MAINT – Can I just check, does your Pension Credit include any payment from the CMEC for your child(ren)? (1=Yes, 2=No)

5. VAR1 BUSAMTDK – When benefit amount is not usual (USUAL=2) and usual amount is not known (NOTUSAMT=.B), the respondent is asked if it is unknown because it is combined with one or more other benefit?

16. **Employment and Support Allowance**

VAR1 BUSAMTDK – When benefit amount is not usual (USUAL=2) and usual amount is not known (NOTUSAMT=.B), the respondent is asked if it is unknown because it is combined with one or more other benefit?

VAR2 ESATYPE – There are two types of Employment and Support Allowance.
Is your allowance 1 = Contributory
 2 = Income based
 or
 3 = Contributory (Imputed),
 4 = Income Based (Imputed)

17. **Incapacity Benefit**

VAR1 MADEMP - Are you getting 'made up' pay from employer? (1=Yes, 2=No)

VAR2 MDUPWK - Are you paid every week? (1=Yes, 2=for only some weeks)

VAR3 MDUPNO - For how many weeks are you getting 'made-up' pay? (0-97)

19. **Income Support**

VAR1 BUSAMTDK – When benefit amount is not usual (USUAL=2) and usual amount is not known (NOTUSAMT=.B), the respondent is asked if it is unknown because it is combined with one or more other benefit?

VAR3 MAINT – Can I just check, does your Income Support/Pension Credit include any payment from the Child Support Agency for your child(ren)? (1=Yes, 2=No)

25. **Social Fund - Community Care Grant**

VAR1 SFGRNUM - How many such grants have you received in the last 6 months?

39. **Social Fund - Budgeting Loan**

VAR1 LOANNUM - How many Social Fund loans do you have at the moment? (Integer 1..7)

40. **Social Fund - Crisis Loan**

VAR1 LOANNUM - How many Social Fund loans do you have at the moment? (Integer 1..7)

42. **Future: DLA Self Care**

VAR1 WHOCFOR – For who has the future claim for DLAc been made?

43. **Future: DLA Mobility**

VAR1 WHOMFOR – For who has the future claim for DLAm been made?

65. **DWP Payments (Income Support / Pension Credit recipients)**

VAR2 GOVBEBOR - Just now, you said that you got [£ amount] Income Support, Pension Credit, Jobseeker's Allowance last time. Was that *BEFORE* or *AFTER* taking off what the DWP pay for directly?

1 = BEFORE deducting what DWP pay for directly
(i.e. respondent's **gross** entitlement)

2 = AFTER deducting what DWP pay for directly
(i.e. **net** amount received by respondent)

66. ***DWP Payments (Jobseeker's Allowance recipients)***

VAR2 GOVBEBOR - Just now, you said that you got [£ amount] Income Support, Pension Credit, Jobseeker's Allowance last time. Was that *BEFORE* or *AFTER* taking off what the DWP pay for directly?

1 = BEFORE deducting what DWP pay for directly
(i.e. respondent's **gross** entitlement)

2 = AFTER deducting what DWP pay for directly
(i.e. **net** amount received by respondent)

69. ***Social Fund Loan : Repayment (Income Support recipients)***

VAR2 SFINC - Just now you said that you got [£ amount] of Income Support, Pension Credit, Jobseeker's Allowance last time. Was that *BEFORE* or *AFTER* taking off your Social Fund loan repayments?

1 = BEFORE taking off amount for loan repayment

2 = AFTER taking off amount for loan repayment

70. ***Social Fund Loan : Repayment (Jobseeker Allowance recipients)***

VAR2 SFINC - Just now you said that you got [£ amount] of Income Support, Pension Credit, Jobseeker's Allowance last time. Was that *BEFORE* or *AFTER* taking off your Social Fund loan repayments?

1 = BEFORE taking off amount for loan repayment

2 = AFTER taking off amount for loan repayment

78. ***Extended Housing / Council Tax Benefit (separate or combined)***

VAR1 EXTHBCTB - Did you receive an extended payment of Housing Benefit, or Council Tax Benefit, or both?

IF 'BOTH' were they paid together, or separately?

1 = Housing Benefit only

2 = Council Tax Benefit only

3 = HB and CTB paid together

4 = HB and CTB paid separately

VAR2 NIEXTHBB - As above for Northern Ireland respondents

79. ***Extended Housing / Council Tax Benefits (separate or combined)***

VAR1 EXTHBCTB - Did you receive an extended payment of Housing Benefit, or Council Tax Benefit, or both?

IF 'BOTH' were they paid together, or separately?

- 1 = Housing Benefit only
- 2 = Council Tax Benefit only
- 3 = HB and CTB paid together
- 4 = HB and CTB paid separately

90. **Working Tax Credit**

VAR1 WTCD – Does the payment include a disability element?

92. **Working Tax Credit (Lump Sum)**

VAR1 WTCD – Does the payment include a disability element?

SUBSIDIARY TABLES

For Pensions and DWP Payments, additional tables have been created to hold more details about the breakdown of these benefits/loans.

PENAMT TABLE

Holds details of the components making up Retirement, Old Persons and Widows Pensions, each row in the table represents one component of the benefits. The rows are uniquely identified by the following Key fields :-

SERNUM	Unique serial number for the household
BENUNIT	The benefit unit number within the household
PERSON	The person number within the household
BENEFIT	The benefit type is a code identifying the type of benefit received i.e. 5 = Retirement Pension, 14 = Jobseeker's Allowance
AMTTYPE	Component type i.e.:- <ol style="list-style-type: none">1. Basic pension2. Basic increment3. Graduated pension4. Age Addition5. Increase of Pension for an Adult6. Increase of Pension for Children7. Invalidity addition8. Attendance Allowance9. Additional pension before contracted out deductions10. Contracted out deductions11. Additional pension: after contracted out deductions12. Additional pension increments13. Upgrading of contracted out increments14. Care Component – High

15. Care Component – Middle
16. Care Component – Low
17. Mobility Component – High
18. Mobility Component – Low
19. Pension Credit - Guaranteed Element Amount
20. Pension Credit - Savings Element Amount
25. Basic Pension – based on National Insurance (NI) Contributions
26. Additional Pension - based on your earnings from 6th April 1978 to 5th April 1997
27. Additional Pension - less contracted out Deductions (COD)
28. Additional Pension - to 5th April 1997
29. Additional Pension - based on your earnings from 6th April 1997 to 5th April 2002
30. Additional Pension - based on earnings, caring or incapacity from 6th April 2002
31. Shared Additional Pension - arising from your divorce settlement
32. Increments - Basic Pension increments
33. Increments - Additional Pension increments
34. Increments - money paid to protect amount of any COD increments
35. Increments - Shared Additional Pension Increments
36. Graduated Retirement Benefit - based on contributions paid between 1961 and 1975
37. Invalidity Addition - paid if you were entitled to Invalidity Allowance
38. Money for other people

Additional variable/s :-

PENQ	The weekly amount (Float 0.00..97.00)
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GOVPAY TABLE

Holds the items that the DWP pay directly, each row in the table represents one item, the individual amounts are not asked. The rows are uniquely identified by the following key fields :-

SERNUM	Unique serial number for the household
BENUNIT	The benefit unit number within the household
PERSON	The person number within the household
BENEFIT	The benefit type is either 65 (Payments from IS/PC) or 66 (Payment from JSA)
GOVPAY	Item type i.e.:-

1. Mortgage Interest
2. Rent Arrears
3. Fees for nursing homes
4. Gas or electricity bills
5. Service charges for heating or fuel
6. Water charges (GB)
7. Council Tax arrears (GB)
8. Rates Arrears (NI)
9. Fines
10. Personal or Commercial loan repayments
11. Maintenance payments
12. Item not known

BENEFIT EXISTENCE FIELDS

Accessing the BENEFITS table and looking for relevant rows can check for the existence of benefits. Additionally, it is possible to check whether an adult is in receipt of a benefit, using the ADULT table. The original responses to the Benefit list questions are held in the ADULT table each field representing one Benefit. The fields are listed in Table 1. The possible values in each field are the standard converted multiple choice responses, i.e.:-

- | | |
|-----|-------------------------------|
| 1 | Yes |
| 2 | No |
| 3 | All responses in set are 'No' |
| - 1 | Not applicable / skipped |
| - 9 | Don't Know |
| - 8 | Refused to answer |
| - 2 | Not known / unable to derive |

ADDITIONAL RESPONSES

In addition to Benefit details there are some additional questions concerning future benefits. These are held in the BENEFIT or ADULT table and are as follows:-

WHOREC1 - 5 These questions are asked of those people claiming DLA (Care), DLA (Mobility) and Attendance Allowance, or will claim any of these benefits in the future, as outlined below (Person Number -Symbolic 1..20,97). The benefits can be received for up to 5 people. The responses appear in the BENEFITS table.

B2QFUT1 - 3 Are asked of those people current not in receipt of Disability Living Allowance (Care and/or Mobility Components), or Attendance Allowance to establish if they are awaiting a decision on the application. The responses appear in the ADULT table.

B3QFUT1 - 6 Are asked of those people current not in receipt of JSA, IS/MIG, IB, MA, IIDB, or PC who are awaiting a decision on the application. The responses appear in the ADULT table.

TABLE 1 BENEFIT CODES

Question on ADULT table	table key BENEFITS	BENEFIT Description
B2QFUT1	42	Future : DLA (Care component)
B2QFUT2	43	Future : DLA (Mobility component)
B2QFUT3	44	Future : Attendance Allowance
BEN1Q1	3	Child Benefit
BEN1Q2	37	Guardian's Allowance
BEN1Q3	13	Carer's Allowance
BEN1Q4	5	Retirement or Old Person's Pension
BEN1Q5	6	Bereavement Benefit includes Bereavement or Widowed Parents Allowance
BEN1Q6	8 or 9	Armed Force's Compensation Scheme or War Widow(er)'s Pension
BEN1Q7	10	Severe Disablement Allowance
BEN2Q1	1	DLA (Care component)
BEN2Q2	2	DLA (Mobility component)
BEN2Q3	12	Attendance Allowance
BEN3Q1	14	Jobseeker's Allowance
BEN3Q2	19	Income Support
BEN3Q3	17	Incapacity Benefit
BEN3Q4	21	Maternity Allowance
BEN3Q5	15	Industrial Injury Disability Benefit
BEN3Q6	4	Pension Credit
BEN3Q7	16	Employment and Support Allowance

V2009_10 DATABASE TECHNICAL NOTE

BEN4Q1	24	Social Fund Loan : Funeral Grant
BEN4Q2	22	Social Fund Loan : Maternity Grant
BEN4Q3	25	Social Fund Loan : Community Care Grant
BEN4Q4	23	Health in Pregnancy Grant
BEN5Q1	78, 79, 80	Extended Housing Benefit, Council Tax Benefit, Rent or Rate rebate
BEN5Q2	60	Widow's Payment – lump sum
BEN5Q3	51	Receipt last 6mths – Child Maintenance Bonus / Premium
BEN5Q4	52	Receipt last 6mths – Job Grant (<i>formerly Lone Parent Benefit Run-on</i>)
BEN5Q5	45	Receipt last 6mths – Work Search Premium
BEN5Q6	46	Receipt last 6mths – In Work Credit
BEN5Q7	48	Receipt last 6mths – Work-related Activity Premium
BEN5Q8	47	Receipt last 6mths – Return to Work Credit
BEN5Q9	30	Receipt last 6mths – Any other State or N.I. benefit
BEN7Q1	61	Unemployment / Redundancy Insurance
BEN7Q2	31	Trade Union Strike / Sick Pay
BEN7Q3	33	Private Sickness Scheme Benefits
BEN7Q4	34	Accident Insurance Scheme Benefits
BEN7Q5	81	Permanent Health Insurance
BEN7Q6	35	Hospital Savings Scheme Benefits
BEN7Q7	32	Friendly Society Benefits
BEN7Q8	83	Critical Illness Cover
BEN7Q9	82	Any other sickness insurance
CTCLUM1	93	CTC - lump sum under £105 covering whole year
CTCLUM2	91	CTC - regular payment via bank, PO or giro
EXTHBCT1	78	Extended HB – Housing Benefit paid separately
EXTHBCT2	79	Extended CTB – Council Tax Benefit paid separately
EXTHBCT3	80	Extended HBCTB – Housing & Council Tax combined or 'don't know'

V2009_10 DATABASE TECHNICAL NOTE

GOVPIS	65	DWP 3 rd party payments – made on behalf of IS recipients
GOVPJSA	66	DWP 3 rd party payments – made on behalf of JSA recipients
GTA	36	Government Training Allowance
NDEAL	20	Currently participating in New Deal 50+
SFLNTYP1	39	Social Fund Loan : Budgeting
SFLNTYP2	40	Social Fund Loan : Crisis
SFRPIS	69	Social Fund Loan : repayment from IS or PC recipients
SFRPJSA	70	Social Fund Loan : repayment from JSA recipients
SFTYPE2	25	Social Fund Loan : Community Care Grant
TAXCRED1	90 or 92	Working Tax Credit : weekly or lump sum
TAXCRED2	91 or 93	Child Tax Credit : weekly or lump sum
WAR1	8	In receipt : Armed Force's Compensation Scheme
WAR2	9	In receipt : War Widow(er)'s Pension
WPBA	6	Widow's Pension / Bereavement Allowance
WPA	7	Widowed Parents / Mothers Allowance
WTCLUM1	92	WTC – lump sum under £105 covering whole year
WTCLUM3	90	WTC – regular payment via bank, PO or giro