

ANALYTICAL SERVICES DIRECTORATE  
INCOME ANALYSIS 1

FAMILY RESOURCES SURVEY  
2001-02

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## INTRODUCTION

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This report accompanies the release of the 2001-02 Family Resources Survey (FRS) and provides information on changes to:

- Variables
- Dataset structure
- Processing (validation, editing and derivation of variables)
- Methodology

The information provided in this report should be used in conjunction with the standard FRS changes documentation. In particular: 'The Interviewers Guide to Changes' and the 'Changes' metadata spreadsheet.

If at any point you would like to discuss any issues or require clarification then please contact one of the FRS team.

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**OVERVIEW OF VARIABLE CHANGES**

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The variable changes are listed below with reference to the page in the **‘Interviewers Guide to Changes’** that provides more details.

Changes in the FRS data and the structure in which it is held can affect three main areas of processing; editing, derived variables and imputation.

The tables below summarise for each change in the dataset for 2000-01 which areas have been affected. Further details on how these changes have been treated are provided in the ‘Further details on changes’ section of this report.

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**QUESTIONS ROTATED ON FOR 2001-02 (PAGE 3)**

Vehicle ownership and Consumers Durables are rotated on

**QUESTIONS ROTATED OFF FOR 2001-02 (PAGE 4)**

National Health Services and Travel to Work are rotated off

		<b>Editing</b>	<b>DVs</b>	<b>Imputation</b>
<b>Travel to Work</b>	Rotated off		✓	✓
<b>NHS questions</b>	Rotated off			
<b>Consumer Durables</b>	Rotated on			✓
<b>Vehicle Ownership</b>	Rotated on			✓

**ETHNICITY QUESTIONS (PAGE 6)**

Extension of ethnicity categories in line with harmonisation and inclusion of National identity question.

		<b>Editing</b>	<b>DVs</b>	<b>Imputation</b>
<b>NATID1-6</b>	National Identity		✓	
<b>ETHGRP</b>	New ethnicity categories - 10 to 15.		✓	

### REPLACEMENT of Head of Household with Household Reference Person (PAGE 8)

All variables using Head of Household (HoH) in their definition have been changed to Household Reference Person (HRP) as part of harmonisation across government surveys. The definition of HRP is the *'Highest Income Householder'*.

		Editing	DVs	Imputation
<b>Dropped Variables</b>				
<b>HOH</b>	Whether head of household. Replaced by <b>HRPID</b>		✓	
<b>HOHNUM</b>	Person number of head of household. Replaced by <b>HRPNUM</b>		✓	
<b>New Variables</b>				
<b>HRPID</b>	Whether head of household. Replaced <b>HOH</b>		✓	
<b>HRPNUM</b>	Person number of head of household Replaced <b>HOHNUM</b>		✓	

### INTEREST ONLY MORTGAGES (PAGE 12)

New option added to allow details of Interest-Only mortgages to be recorded.

<b>New Variables</b>		Editing	DVs	Imputation
<b>MORTTYPE</b>	Extra category "other" to cater for "interest only mortgages".		✓	

### STAKEHOLDER PENSIONS (PAGE 23)

Information on Stakeholder pension schemes separately identifiable from personal pension schemes.

<b>New Variables</b>		Editing	DVs	Imputation
<b>EMPPAY3 /STAKEP</b>	Whether contributing to stakeholder pension.			✓

### NEW DEAL FOR OVER 50's (PAGE 23)

New question introduced to record those on this scheme.

<b>New Variables</b>		Editing	DVs	Imputation
<b>NDEAL /BENEFIT</b>	Whether currently receiving New Deal 50+ New benefit type 20.		✓	✓

### SHARED PENSIONS (PAGE 24)

New option added to record pension income received from an ex-spouse/partner.

<b>New Variables</b>		Editing	DVs	Imputation
<b>ANYPEN7</b>	Receipt of share of pension on divorce.			✓

**WIDOWS' PENSIONS and BEREAVEMENT PAYMENTS (PAGE 24)**

Questions amended to record these new benefits.

New Variables		Editing	DVs	Imputation
<b>WID3</b>	In receipt of Bereavement Allowance.		✓	✓
<b>WID4</b>	In receipt of Widows Parents Allowance.		✓	✓
<b>BENEFIT</b>	benefit type=6 Label change: from "Widow's Pension" to "Widow's Pension/Bereavement Allowance".		✓	✓
<b>BENEFIT</b>	benefit type=7 Label change from "Widowed Mothers Allowance" to "Widowed Mothers/Widowed Parents Allowance".		✓	✓
<b>BENEFIT</b>	benefit type=60 Label change from "Widows Payment" to "Bereavement Payment".		✓	✓

**WINTER FUEL PAYMENTS**

Questions amended to record winter fuel over last 12 months rather than 6 months as previous to ensure all cases are picked up throughout the year.

New Variables		Editing	DVs	Imputation
<b>WINTFUEL /BENEFIT</b>	Whether received winter fuel payment in last 12 months. New benefit type 62		✓	✓

**SHARE CLUBS (PAGE 26)**

New category added to INVESTS to record money invested in share clubs.

New Variables		Editing	DVs	Imputation
<b>ACCOUNT/ ASSETYPE</b>	Member of Share Club		✓	

**PAYMENT IN KIND – COMPANY CARS (PAGE 17)**

We are now including company vehicles as a form of payment in kind from employers:

		Editing	DVs	Imputation
<b>INKIND10</b>	Company vehicle.			✓
<b>INKIND11</b>	Other payments in kind.			

## TAX CREDIT QUESTIONS BLOCK (PAGE 16)

Information on tax credits is now collected in a single question block.

		Editing	DVs	Imputation
<b>Dropped Variables</b>				
<b>INCLTC1</b>	Last pay includes <b>WFTC</b> . Replaced by <b>VAR1</b> and <b>HOWTAX</b> .		✓	✓
<b>INCLTC2</b>	Last pay includes <b>DPTC</b> . Replaced by <b>VAR1</b> and <b>HOWTAX</b> .		✓	✓
<b>BEN4Q1</b>	In receipt of <b>WFTC</b> . Replaced by <b>TAXCRED1</b> .		✓	✓
<b>BEN4Q2</b>	In receipt of <b>DPTC</b> . Replaced by <b>TAXCRED2</b> .		✓	✓
<b>BEN6Q1</b>	In receipt of <b>WFTC</b> lump sum. Replaced by <b>WFTCLUM</b> .		✓	✓
<b>BEN6Q2</b>	In receipt of <b>DPTC</b> lump sum. Replaced by <b>DPTCLUM</b> .		✓	✓
<b>B4QFUT1</b>	Awaiting outcome of claim: <b>WFTC</b> . Replaced by <b>TAXFUT1</b> .		✓	✓
<b>B4QFUT2</b>	Awaiting outcome of claim: <b>DPTC</b> . Replaced by <b>TAXFUT2</b> .		✓	✓
<b>New Variables</b>				
<b>TAXCRED1</b>	In receipt: Working Families' Tax Credit. Replaced <b>BEN4Q1</b> .		✓	✓
<b>TAXCRED2</b>	In receipt: Disabled Person's Tax Credit. Replaced <b>BEN4Q2</b> .		✓	✓
<b>TAXCRED3</b>	In receipt: Children's Tax Credit.		✓	✓
<b>TAXFUT1</b>	Awaiting outcome of claim: <b>WFTC</b> . Replaces <b>B4QFUT1</b> .		✓	✓
<b>TAXFUT2</b>	Awaiting outcome of claim: <b>DPTC</b> . Replaces <b>B4QFUT2</b> .		✓	✓
<b>TAXFUT3</b>	Awaiting outcome of claim: <b>CTC</b> .		✓	✓
<b>WFTCLUM</b>	In receipt: <b>WFTC</b> lump sum. Replaces <b>BEN6Q1</b> .		✓	✓
<b>DPTCLUM</b>	In receipt: <b>DPTC</b> lump sum. Replaces <b>BEN6Q2</b> .		✓	✓
<b>WFTCBOTH</b>	Most recent payment method for <b>WFTC</b> (if received both lump sum and regular payment).		✓	✓
<b>DPTCBOTH</b>	Most recent payment method for <b>DPTC</b> (if received both lump sum and regular payment).		✓	✓

## EMPLOYMENT STATUS (PAGE 17)

For the 2001 census an update to the occupational classification coding system (SOC2000) has been developed by ONS. The changes to the way social class is derived means that the questions needed to collect the necessary information for coding have changed slightly.

		Editing	DVs	Imputation
<b>Dropped Variables</b>				
<b>SEG</b>	Socio Economic Group. Replaced by <b>NSSEC</b> .			
<b>SOC</b>	Standard Occupational Classification. Replaced by <b>NSSEC</b> .			
<b>SOCCLS</b>	Social Class. Replaced by <b>SOC2000</b> .			
<b>MANAGE</b>	Job description: managerial duties. Question now split into two: <b>RspOth</b> and <b>SVDesc</b> .			
<b>New Variables</b>				
<b>NSSEC</b>	Social-Economic Classifications. Replaced <b>SOC, SEG</b>			
<b>SOC2000</b>	Standard Occupational Classification. Replaced <b>SOCCLS</b> .			
<b>RSPOTH</b>	Whether formal responsibility for supervising.			✓

At present those over 70 are skipped straight from Unpaid2 to Retire. Upon recommendation from interviewers, we have added another 'spontaneous' code to 'JobAway', to prevent younger people who have finally 'retired from paid work' from being asked a lot of irrelevant work status questions.

		Editing	DVs	Imputation
<b>JOBAWAY</b>	Extra category (4) – <b>SPONTANEOUS</b> –Retired from Paid Employment.		✓	

In line with government harmonisation requirements, we have also expanded the categories at the number of employees at the place of work for employees (Numemp) and self employed people (EmpAny). The questions have also changed so that they should be read as a running prompt, reading out the answer options to the respondent.

		Editing	DVs	Imputation
<b>EMPANY/ NUMEMP</b>	Change in category for number of employees.			✓



## DESCRIPTIONS OF DWELLINGS (PAGE 12)

'Harmonisation' changes across all the large government surveys are required for descriptions of dwelling or housing types.

		Editing	DVs	Imputation
<b>FLOOR</b>	2 new values for floor levels.			
<b>ENTRY1</b>	Whether locked common entrance.			✓
<b>ENTRY2</b>	Whether locked gates.			✓
<b>ENTRY3</b>	Whether security staff/gatekeeper.			✓
<b>ENTRY4</b>	Whether entry phone access.			✓

## EXTENSION TO REGION VARIABLES

		Editing	DVs	Imputation
<b>LAC</b>	Categories for Shetland Islands and Orkney Islands, Western Isles.		✓	
<b>SSTRREG</b>	New category – North of the Caledonian Canal.			

## FREE TELEVISION LICENCES

Derived variable to estimate take-up of free television licences prior to November 2000 is no longer required.

Dropped Variables		Editing	DVs	Imputation
<b>TVLICDV</b>	DV created by FRS team. Base variable TVLIC indicator now covers full years data, therefore this derived variable to be deleted.		✓	

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**FURTHER DETAILS ON CHANGES**

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The sections below provide specific details on the treatment of variables changes within the four processing areas;

- **Non-Benefit Editing**
- **Benefit Editing**
- **Derived Variables**
- **Imputation**

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**NON-BENEFIT EDITING**

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No changes made.

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**BENEFIT EDITING**

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No changes made.

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**DERIVED VARIABLES**

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The tables below provide further details on all the variable changes that have led to a change in our derived variables.

**Rotated Variables**

Base variable change		DV changes
Travel to Work	Rotated off	Removed <b>TTWCOSTS</b> and <b>TTWMOD</b>

**Dropped Variables**

Base variable change		DV changes
<b>INCLTC1</b>	Last pay includes <b>WFTC</b> . Replaced by <b>VAR1</b> and <b>HOWTAX</b> .	Amended <b>UGRSPAY</b> , <b>NINEARNS</b> Use <b>HOWTAX /VAR1</b> to identify how Tax Credit received.
<b>INCLTC2</b>	Last pay includes <b>DPTC</b> Replaced by <b>VAR1</b> and <b>HOWTAX</b> .	Amended <b>UGRSPAY</b> , <b>NINEARNS</b> Use <b>HOWTAX /VAR1</b> to identify how Tax Credit received.
<b>HOH</b>	Whether head of household. Replaced by <b>HRPID</b> .	Amended: <b>UPERSONA</b> , <b>EMP</b> , <b>EMPHOH</b> , <b>PENAGE</b> , <b>PENHOH</b> , <b>SICK</b> , <b>SICKHOH</b> , <b>HHAGEGR2</b> , <b>HHETHGRP</b> , <b>HHAGEGRP</b> , <b>INRINC</b> , <b>TAXPAYER</b> , <b>INOTHBEN</b> , <b>HDBEN</b> ,

		<b>INIRBEN.</b> Replaced <b>HOH</b> with <b>HRPID</b> .
<b>HOHNUM</b>	Person number of head of household. Replaced by <b>HRPNUM</b> .	Amended <b>DEPDEDS, RELHOH (CHILD), RELHOH (ADULT)</b> . Replaced <b>HOHNUM</b> with <b>HRPNUM</b> .
<b>TVLICDV</b>	DV created by FRS team. Base variable TVLIC indicator now covers full years data, therefore this derived variable deleted.	Amended <b>BUTVLIC, HHTVLIC, INTVLIC</b> to remove references to <b>TVLICDV</b> .

### New Variables

Base variable change		DV changes
<b>HRPID</b>	Whether head of household. Replaced <b>HOH</b> .	Amended <b>UPERSONA, EMP, EMPHOH, PENAGE, PENHOH, SICK, SICKHOH, HHAGEGR2, HHETHGRP, HHAGEGRP, INRINC, TAXPAYER, INOTHBEN, HDBEN, INIRBEN</b> . Replaced <b>HOH</b> with <b>HRPID</b> .
<b>HRPNUM</b>	Person number of head of household Replaced <b>HOHNUM</b> .	Amended <b>DEPDEDS, RELHOH (CHILD), RELHOH (ADULT)</b> . Replaced <b>HOHNUM</b> with <b>HRPNUM</b> .
<b>NDEAL</b>	Whether currently receiving New Deal 50+ New benefit type 20.	Amended <b>INRINC</b> to include <b>NDEAL</b>
<b>WINTFUEL</b>	Whether received winter fuel payment in last 12 months. New benefit type 62	Amended <b>INNIRBEN, INOTHBEN</b> to include <b>WINTFUEL</b> .
<b>WID3</b>	In receipt of Bereavement Allowance.	Amended <b>INNIRBEN, INOTHBEN</b> Added a note to state that benefit type 6 can be either Widow's Pension (if WID=1) or Bereavement Allowance (if WID=3)
<b>WID4</b>	In receipt of Widows Parents Allowance.	Amended <b>INNIRBEN, INOTHBEN</b> Added a note to state that benefit type 7 can be either Widowed Mother's Allowance (if WID=2) or Widowed Parent's Allowance (if WID=4)

### Category Changes

Base variable change		DV changes
<b>ACCOUNT/ ASSETYPE</b>	Member of Share Club.	Amended <b>TOTCAPBU, ININV, NINIV</b> to include interest from Member of Share Clubs. <b>New DVs created: SCLBCTI, SCLBCTB, SCLBCTH</b> to indicate this type of account held. (these DVs created in : <b>ACCOUNTA</b> ,

		<b>ACCOUNTB, ACCOUNTC</b> programs.)
<b>ETHGRP</b>	New ethnicity categories – 10 to 15.	Amended: <b>HHETHGRP, BUETHGRP</b> to include the new ethnicity categories. New category created called ' <b>MIXED</b> '.
<b>JOBAWAY</b>	Extra category (4) – <b>SPONTANEOUS</b> –Retired from Paid Employment.	Amended <b>EMPSTATI, EMPSTATB, EMPSTATC</b> to include <b>JOBAWAY=4</b> .
<b>BENEFIT</b>	Whether currently receiving New Deal 50+ New benefit type 20.	Amended <b>INRINC</b> to include <b>NDEAL</b> .
<b>BENEFIT</b>	Whether received winter fuel payment in last 12 months. New benefit type 62.	Amended <b>INNIRBEN, INOTHBEN</b> to include <b>WINTFUEL</b> payments.
<b>LAC</b>	Categories for Shetland Islands and Orkney Islands, Western Isles	Amended <b>GROSSCT, CWATAMTD</b> .
<b>MORTTYPE</b>	Extra category “other” to cater for “interest only mortgages”.	Amended <b>MORTINT</b> to include “Interest only mortgages”.
<b>BENEFIT</b>	benefit type=6 Label change: from “Widow's Pension” to “Widow's Pension/Bereavement Allowance”.	Amended <b>INNIRBEN, INOTHBEN</b> Added a note to state that label for benefit type 6 changed to “Widow's Pension/Bereavement Allowance”.
<b>BENEFIT</b>	benefit type=7 Label change from “Widowed Mothers Allowance” to “Widowed Mothers/Widowed Parents Allowance”.	Amended <b>INNIRBEN, INOTHBEN</b> Added a note to state that label for benefit type 7 changed to “Widowed Mothers/Widowed Parents Allowance”.
<b>BENEFIT</b>	benefit type=60 Label change from “Widows Payment” to “Bereavement Payment”.	Amended <b>INNIRBEN, INOTHBEN</b> Added a note to state that label for benefit type 60 changed to “Bereavement Payment”.
<b>SEWERPAY, SEWSEP, SEWTIME</b>	Wording change from using only ‘rates’ to ‘rates/charges’.	<b>WATSEWRT</b> , uses <b>SEWERPAY</b> - no change to program – just made a note/comment at the top of the code.
<b>WATERPAY, WATTIME</b>	Wording change from using only ‘rates’ to ‘rates/charges’.	<b>WATSEWRT</b> , uses <b>WATERPAY</b> – no change to program – just made a note/comment at the top of the code.
<b>LANDLORD</b>	Category 7- Wording change from ‘Another individual private landlord’ to ‘Another individual/private landlord/letting agency’.	<b>PTENTYPE</b> and <b>TENTYPE</b> , uses <b>LANDLORD</b> - no change to program - just made a note/comment at the top of the code.

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**IMPUTATION**

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The tables below provide further details on all the variable changes that have led to a change in our three imputation methods:

- Bulk Edits
- Algorithms
- Hotdecks

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**BULK EDITS**

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The following Bulk Edits have been rotated back on.

Table	Variable	Imputed Value	If...
HOUSEHOL	CENTFUEL	2	CENTFUEL in (.B,.C)
HOUSEHOL	CENTHEAT	2	CENTHEAT in (.B,.C)
VEHICLE	VEHIC	1	VEHIC in (.B,.C)
VEHICLE	VEHOWN	2	VEHOWN in (.B,.C)

The following are new bulk edits for variables that have been replaced. They follow the same rules as the previous bulk edit.

Table	Variable	Imputed Value	If...
ADULT	ANYPEN7	3	ANYPEN7 in (.B,.C)
ADULT	BEN5Q01	3	BEN5Q01 in (.B,.C)
ADULT	BEN5Q02	3	BEN5Q02 in (.B,.C)
ADULT	BEN5Q03	3	BEN5Q03 in (.B,.C)
ADULT	BEN5Q04	3	BEN5Q04 in (.B,.C)
ADULT	BEN5Q05	3	BEN5Q05 in (.B,.C)
ADULT	BEN5Q06	3	BEN5Q06 in (.B,.C)
ADULT	BEN5Q07	3	BEN5Q07 in (.B,.C)
ADULT	BEN5Q08	3	BEN5Q08 in (.B,.C)
ADULT	BEN5Q09	3	BEN5Q09 in (.B,.C)
ADULT	BEN5Q10	3	BEN5Q10 in (.B,.C)
JOB	HHINC	2	HHINC in (.B,.C)
PENPROV	EMPPAY1	3	EMPPAY1 in (.B,.C)
PENPROV	EMPPAY2	3	EMPPAY2 IN (.B,.C)
PENPROV	EP1AVC	2	EP1AVC in (.B,.C)
PENPROV	EPCUR	2	EPCUR in (.B,.C)
PENPROV	EPTYPE	1	EPTYPE in (.B,.C)
PENPROV	PENCON	2	PENCON in (.B,.C)

PENPROV	PENDSS	1	PENDSS in (.B,.C)
PENPROV	PENHELP	1	PENHELP in (.B,.C)
PENPROV	PENNUMC	1	PENNUMC in (.B,.C)
PENPROV	PENREB	2	PENREB in (.B,.C)
PENPROV	PENREBDSS	2	PENREBDSS in (.B,.C)
ADULT	TAXCRED1	3	TAXCRED1 in (.B,.C)
ADULT	TAXCRED2	3	TAXCRED2 in (.B,.C)
ADULT	TAXFUT1	3	TAXFUT1 in (.B,.C)
ADULT	TAXFUT2	3	TAXFUT2 in (.B,.C)
ADULT	TAXFUT3	3	TAXFUT3 in (.B,.C)
ADULT	WFTCLUM	3	WFTCLUM in (.B,.C)

The following are new bulk edits for new variables.

<b>Table</b>	<b>Variable</b>	<b>Imputed Value</b>	<b>If...</b>
ADULT	NDEAL	3	NDEAL in (.B,.C)
ADULT	WPBA	3	WPBA in (.B,.C)
ADULT	WPA	3	WPA in (.B,.C)
ADULT	WINTFUEL	3	WINTFUEL in (.B,.C)
JOB	EMPANY	1	EMPANY in (.B,.C)
JOB	INKIND11	3	INKIND11 in (.B,.C)

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**ALGORITHMS**

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No changes to algorithms

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**HOTDECKS**

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The following hot decked variables were rotated off.

ADULT	FARE
ADULT	ONEWAY
ADULT	PSSAMT
ADULT	TTWCOST
ADULT	TTWFAR TTWMOD
ADULT	TTWFRQ
ADULT	TTWREC

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**CHANGES IN DATASET STRUCTURE**

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**Pensions Table**

With the introduction of stakeholder pensions a review was carried out looking at how information on pension contributions is held in the FRS. The outcome of this was to create a new hierarchical table, PENPROV, containing pension level information on these contributions for occupation, personal and stakeholder pensions. Summary information is still available on the ADULT table.

STRUCTURE AND USE

The PENPROV variables and the survey questions these are derived from are shown below:

Variable	Description	Pension Type	Survey Question
SERNUM	Sernum	-	NA
BENUNIT	Benefit Unit	-	NA
PERSON	Person	-	NA
PROVSEQ	Pension key	-	NA
STEMPPAY	Pension Type	Personal Pension where STEMPPAY is 1	NA
		Company Pension where STEMPPAY is 2	NA
		Stakeholder Pension where STEMPPAY is 3	NA
AVCTYPE1	Employer's AVC	Company Pensions	AVCTYPE
AVCTYPE2	Free standing AVC	Company Pensions	AVCTYPE
AVCTYPE3	Method Of Contribution Not Known	Company Pensions	AVCTYPE
EP1AVC	Whether pays AVCs	Company Pensions	EP1AVC
EPCUR	Whether employer runs pension scheme	Company Pensions	EPCUR
EPLONG	Year joined present employer's scheme	Company Pensions	EPLONG
EPTYPE	Description of employers pension scheme	Company Pensions	EPTYPE
KEEPPEN	Keep pension and pay contribs. if leave?	Company Pensions	KEEPPEN
PENCON	Who Contributes to Pension	Personal Pensions and Stakeholder Pensions	PPCON/SPCON
PENDAT	Date took out pension	Personal Pensions and Stakeholder Pensions	PPDAT/SPDAT
PENDSS	Whether money paid into pension by DSS	Personal Pensions and Stakeholder Pensions	PPDSS/SPDSS
PENHELP	Whether emp. arranged pension	Personal Pensions and Stakeholder Pensions	PPHELP/SPHELP
PENMORT	Whether pens mort mentioned earlier	Personal Pensions and Stakeholder Pensions	PPMORT/SPMORT
PENAMT	Amount of last payment to Pension	Personal Pensions and Stakeholder Pensions	PPPAY/SPPAY
PENAMTPD	Pcode:amt of last payment to pen	Personal Pensions and Stakeholder Pensions	PPPD/SPPD
PPNUMC	Number of PPensions contributed to	Personal Pensions	PPNUMC

Information from Pension Pensions and Stakeholder pensions are stored in the PENxxx variables. To differentiate between the two pension types the STEMPPAY variable should be used.

E.g.: when STEMPPAY=1, PENDAT will be 'Date took our Personal Pension. When STEMPPAY=3, PENDAT will be 'Date took out Stakeholder Pension'.

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## METHODOLOGY CHANGES

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The changes that have been outlined so far are due to changes in the dataset variables and structure. We are also proposing changes to some of our methodology. These are shown below:

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## NON-BENEFIT EDITING

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A new check and edit has been introduced to identify cases where tax credits are being double-counted as an income tax refund. In these cases the tax refund record is removed.

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## DERIVED VARIABLES

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### Use of constants in codes

The FRS holds information on lump sum payments that are converted to weekly amounts for use within derived income variables. It has come to our attention that the methodology we use is not internally consistent within the FRS and also not with that used on HBAI.

Therefore, for consistency with HBAI, we are now use 365/7 (and  $(365/(7*2))$  for the six monthly lump sum payments) in all our DVs, except in those that use Bonus amounts - use 52 for these.

The following DVs have been amended to use 365/7:

*WATSEWRT, INDISBEN, INOTHBEN, TOTCAPBU, INIRBEN, CHRINC, MORTINT, INRINC, INNIRBEN, TAXPAYER and HHRENT.*

The following two DVs have *not* been amended to use 365/7:

*UGRSPAY and NINEARNS*

### Changing DV names and labels

We changed the names and/or labels of the following DVs by replacing HOH with HRP: *RELHOH, SICKHOH, EMPHOH, PENHOH, EMP, PENAGE, SICK*

### Tax on bonuses

We have improved the calculations for tax on bonuses in derived variables, UGRSPAY and INEARNNS by taking into account the different circumstances of individuals. Previously, all bonuses were assumed to be taxed at the basic rate when estimating gross bonus from net and vice versa. We now calculate the rate at which bonuses would be taxed for each person and use this in the derived variables.



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## IMPUTATION

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### Bulk Edits

The following bulk edits have been removed as they have been replaced by other imputation methods.

HOUSEHOL	CHINS	2	CHINS IN (.B.,C) AND (STRMORT=1 OR STROTHS=1)
HOUSEHOL	CHINS	1	CHINS IN (.B.,C) AND STRMORT=2 AND STROTHS=2
HOUSEHOL	ENTRY	2	ENTRY in (.B.,C)

Replaced with a hotdeck.

### Algorithms

#### Renters

There was a clause in the RENTER algorithm that stated:

“If a previous condition is not met then the algorithm goes on to check cases where HBENAMT is .b.c AND RENT is  $\geq 35$ . If so it changes HBENAMT to RENT and HBENCHK to 1.

It was not clear why it only applied when  $RENT \geq 35$ . After much searching, asking various people, checking the Housing Benefit regulations, it was decided to drop this clause, as it did not seem to be achieving anything.

EndYr (adult and child tables) is no longer imputed as it not an income variable, a precedent for DVs or needed for the publication.

The algorithm for HBenAmt has been modified so that it can no longer impute amounts less than 50p. 50p is the minimum award of Housing Benefit.

Algorithm introduced that improves the imputation of AccInt.

### Hotdecks

New hotdeck introduced to impute the HBenAmts that the algorithm used to set to less than 50p (see above).

New hotdeck introduced to impute ChIns, which used to be bulk edited.

New hotdeck for the Entry variable. There are now four of these variables collecting information on whether there are various security features – previously we only had the one (entryphone). Through Bulk Edits these were set to the mode where the answer was ‘Don’t Know’ or ‘Refused’.

29/10/02

**FAMILY RESOURCES SURVEY**  
**INTERVIEWER'S GUIDE**  
**TO**  
**CHANGES**

***April 2001***

**(NatCen Proj. 2040)**

29/10/02

**Contains updates for Erratum 1 and 2**

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## **1 SUMMARY**

Welcome to another year of the 'Family Resources Survey'. This is the first year of a new 3-year FRS contract which we were awarded late last year.

This booklet describes changes to the questionnaire being issued in April 2001. We hope that the changes are helpful and represent an improvement to the survey. Many changes directly meet our client's (DSS) changing needs for information, others are in response to comments made by interviewers throughout the year, and in the Field Report.

We are aware that some interviewers have made suggestions for changes which we haven't been able to implement. Usually the reason is that the DSS did not agree with all suggestions. Sometimes there were technical problems, or unanticipated knock-on effects.

### **What's Gone and What's Back?**

Two sections of the survey - NHS and Travel to Work questions - have been 'rotated off' (ie: taken off for this year), and two sections - Consumer Durables and Vehicle Ownership - have been re-introduced for this year (see Section 2).

### **What's New?**

There are very few new questions this year. The biggest alteration to the questionnaire itself is the rationalisation of Working Families', Disabled Person's and the new Children's Tax Credits into a separate block. An independent Tax Credit block simplifies the routing and checks needed, as well as avoiding having to ask the questions more than once, which many people found repetitive.

On the technical/Admin front a major change this year is a new set of outcome codes. The new codes are the Standard Outcomes which should soon be standardised across all main government surveys. The aim of this is to allow better comparison of response rates across surveys, as well as making interviewers lives easier.

### **What's Changed?**

Major changes this year concern the inclusion of the new Bereavement Benefits and payments, and options allowing details of Interest-Only mortgages and Stakeholder pensions to be recorded. The Ethnicity question has also been expanded, and a new question on National Identity included, so that these can be matched to the questions asked in the 2001 Census. We have also dropped the Head of Household question and will rely upon the Highest Income Householder question to determine Household Reference Person from now on.

### **Showcards**

You will receive a new set of Showcards this year. These have been updated to reflect changes to the program.

### **Benefit Rates' Checks**

The benefit amounts in the 'checks' have been amended in line with the new amounts starting in April 2001. However, some don't come in until 12 April, so at the beginning of the month, you may find yourself being 'checked' erroneously. If this occurs, suppress the check and continue. As usual we will have a May program update to fix these discrepancies.

### *Note*

*This booklet describes only changes which have a significant impact on interviewers. There are other changes, such as new derived variables, minor amendments, and technical fixes, which are not covered here.*

*'SOFT CHECK' = 'SIGNAL' both here and in the Interviewer Instructions  
'HARD CHECK' = 'ERROR' both here and in the Interviewer Instructions*

## 2 'ROTATION' OF QUESTION BLOCKS

'Rotated' sections of the questionnaire are asked every *other* year, rather than every year. This still yields enough data for useful analysis, whilst reducing the burden which overlong interviews can have on respondents and interviewers. FRS users have agreed to rotate off two sections this year, and to reinstate two sections from previous years:

### Rotated Off : National Health Treatment Questions

Questions concerning National Health Services and Free prescriptions are rotated off for 2001/2002.

Name	Question	
<b>NHS</b>	"In the past 4 weeks, have any of you..READ OUT...CODE ALL THAT APPLY... ... received something on prescription, ...visited the dentist for an NHS examination or treatment, ...had an eyesight test, ...purchased glasses or contact lenses, ...or been to hospital for NHS treatment?"	<b>Rotated OFF</b>
<b>Per</b>	"Who received the item on prescription?"	<b>Rotated OFF</b>
<b>Prlt</b>		<b>Rotated OFF</b>
<b>Free</b>	"Were the items free of charge or did [name] have to pay for them?" 1: Free of Charge 2: Had to Pay	<b>Rotated OFF</b>
<b>WhyFree</b>	"What was the main reason for that being free of charge?"	<b>Rotated OFF</b>
<b>Voucher</b>	"For the glasses/contact lenses, was an NHS voucher used to help with the cost?"	<b>Rotated OFF</b>
<b>Trav</b>	"Did [name] get any money back at the hospital, for travel costs?"	<b>Rotated OFF</b>
<b>WhyFree</b>	"What was the main reason for that being free of change?"	<b>Rotated OFF</b>
<b>XIntro</b>	"Has anyone else had anything... (etc)"	<b>Rotated OFF</b>

However, as was done last time, DSS require us to retain the questions on Free Welfare Milk and Free school Meals:

ie.

'FreeItem' to MLIntro' are retained.

### Rotated Off : 'Travel to Work' Questions

We've also said 'Goodbye' to the 'Travel to Work' questions for another year:

Name	Question	
<b>TtWFar</b>	"About how far do you travel to your usual place of work?"	<b>Rotated OFF</b>
<b>TtWFrq</b>	"On how many days of the week do you usually travel to your normal place of work?"	<b>Rotated OFF</b>
<b>TtWMod</b>	"How do you usually travel to work?"	<b>Rotated OFF</b>
<b>TtWPss</b>	"Do you have a season ticket, bus pass or travelcard?"	<b>Rotated OFF</b>
<b>PssAmt</b>	"How much does the season ticket/bus pass/travelcard cost?"	<b>Rotated OFF</b>
<b>PssDate</b>	"And how long is it valid for?"	<b>Rotated OFF</b>
<b>OthDate</b>	"Please specify...?"	<b>Rotated OFF</b>
<b>Fare</b>	"How much does the journey by bus/train/tube etc... cost each time?"	<b>Rotated OFF</b>
<b>OneWay</b>	"Is that the one way or return fare?"	<b>Rotated OFF</b>
<b>TtWPay</b>	"Do you pay for ALL, SOME or NONE of the costs of taking the [vehicle] to work?"	<b>Rotated OFF</b>
<b>TtWCode</b>	INTERVIEWER: PROBE AND CODE... Respondent is passenger and contributes money to others Respondent is driver and receives money from passengers/employer etc Some other arrangement (none of the above)	<b>Rotated OFF</b>
<b>TtWCost</b>	"How much do you pay per week?"	<b>Rotated OFF</b>
<b>TtWRec</b>	"How much do you receive per week?"	<b>Rotated OFF</b>

### Reinstated: Vehicle Ownership Questions

Questions concerning vehicle ownership are back:

Name	Question	
<b>UseVcl (Jump10)</b>	SHOW CARD J Do [any of] you at present own or have continuous use of any motor vehicles?	<b>Reinstated</b>
<b>TypeVc</b>	I would now like to ask about the [first/second etc] vehicle. Is it...?	<b>Reinstated</b>
<b>PrivVcl</b>	Is the [car/van]...	<b>Reinstated</b>



	privately owned, 2. or is it a company car?	
<b>AnyMore</b>	Do (any of) you at present own or have continuous use of any more motor vehicles?	<b>Reinstated</b>

### Reinstated: Household Durables

The apparently popular questions about household durables, also return for this year, with some modifications to reflect recent technological innovations (highlighted here in bold):

<b>Name</b>	<b>Question</b>	
<b>ConTV[1]</b>	Does your household have any of the following items?  ... a colour TV set?	<b>Retained</b>
<b>ConTV[2]</b>	... a black and white TV set?  1: 1 only 2: more than one 3: none	<b>Retained</b>
<b>ModCons</b>	Does your household have any of the following items? ... <b>'TV satellite receiver – not digital'</b> ... <b>'TV cable receiver – not digital '</b> ... <b>'Digital TV receiver (terrestrial, cable or satellite)'</b> ... 'video recorder/player' ... 'deep freeze or fridge freezer' ... 'washing machine' ... 'tumble drier' ... 'dishwasher' ... 'microwave oven' ... <b>'fixed telephone'</b> ... <b>'mobile telephone'</b> ... 'compact disc (CD) player' ... 'home computer' ... <b>'internet access'</b>	<b>Reinstated</b>
<b>CentFuel</b>	What fuel does it use?	<b>Reinstated</b>

### 3 HOUSEHOLD SCHEDULE

#### Ethnicity Questions\* (QEthnic)

Until now the codes for the Ethnicity question were very limited. Interviewers noted in the Field report that we want to make a good start to the interview by not offending people, which can often happen if they did not see a category which suited them

Simultaneously the Harmonisation Board which makes sure that the same questions are asked across government surveys (for comparison purposes) suggested changes to the Ethnicity Questions.

The decision has been made by DSS to go with much more detailed list of ethnic backgrounds, and to include a National Identity question for 2001 fieldwork.

<b>EthGrp</b>	<p>See SHOW CARD A</p> <p>To which of these ethnic groups does [name] consider they belong? THIS IS A QUESTION OF RESPONDENT (OR PROXY'S) OPINION.</p> <ol style="list-style-type: none"> <li>1. White – British</li> <li>2. Any other white background (please describe)</li>   <li>3. Mixed – White and Black Caribbean</li> <li>4. Mixed – White and Black African</li> <li>5. Mixed – White and Asian</li> <li>6. Any other mixed background (please describe)</li>   <li>7. Asian – Indian</li> <li>8. Asian – Pakistani</li> <li>9. Asian – Bangladeshi</li> <li>10. Any other Asian background (please describe)</li>   <li>11. Black – Caribbean</li> <li>12. Black – African</li> <li>13. Any other Black background (please describe)</li>   <li>14. Chinese</li> <li>15. Any other (please describe)</li> </ol> <p><b><u>NEW SHOWCARD A</u></b> To direct the respondent, the <b>NEW SHOWCARD A</b> reads as follows:</p> <hr/>
---------------	--

	<p><b>White</b></p> <ul style="list-style-type: none"> <li>• British</li> <li>• Any other white background (please describe)</li> </ul> <p><b>Mixed</b></p> <ul style="list-style-type: none"> <li>• White and Black Caribbean</li> <li>• White and Black African</li> <li>• White and Asian</li> <li>• Any other Mixed background (Please describe)</li> </ul> <p><b>Asian or Asian British</b></p> <ul style="list-style-type: none"> <li>• Indian</li> <li>• Pakistani</li> <li>• Bangladeshi</li> </ul> <p>Any other Asian background (please describe)</p> <p><b>Black or Black British</b></p> <ul style="list-style-type: none"> <li>• Caribbean</li> <li>• African</li> <li>• Any other Black background (please describe)</li> </ul> <p><b>Chinese or other ethnic group</b></p> <ul style="list-style-type: none"> <li>• Chinese</li> <li>• Any other (please describe)</li> </ul>
If answer to EthGrp is Any Other....( 2 or 6 or 10 or 13 or 15 )	
<b>EthOth</b>	Please can you describe your ethnic group? INTERVIEWER INSTRUCTION - ENTER DESCRIPTION OF ETHNIC GROUP"

New for this year - a National Identity Question:

<b>NatID</b>	<p>What do you consider your <b>national identity</b> to be? Please choose your answer from this card, choose as many or as few as apply? PROBE: Any other?</p> <ol style="list-style-type: none"> <li>1. English</li> <li>2. Scottish</li> <li>3. Welsh</li> <li>4. Irish</li> <li>5. British</li> <li>6. Other answer</li> </ol>
NOTE: Respondent to Refer to :	

CARD B (In England)	CARD B (In Scotland)	CARD B (In Wales)
English	Scottish	Welsh
Scottish	English	English
Welsh	Welsh	Scottish
Irish	Irish	Irish
British	British	British
Other answer	Other answer	Other answer
If NatID = 'Other answer' (6)		
<b>NatOth</b>	How would you describe your national identity?	

## Highest Income Householder

(Block : QHholder)

From this year (survey year 2001-2002), the only definition of the Household Reference Person for government surveys and many other surveys will be the *'Highest Income Householder'*. The 'Head of Household', which was criticised for being outdated and sexist, will not longer be asked. All references to Head of Household (HoH) have been changed to Household Reference Person (HRP).

**Remember:** There is no requirement to find out *how much* income people have; just who has the *highest*. (If two or more people have the same income - or if the answer is 'don't know' or 'refuse', then the eldest householder is chosen.)

### A reminder of how it works?

As with HoH, we start with the people 'in whose name the accommodation is owned or rented': the householders. Where there is only one, that person will automatically become the **household reference person (HRP)**, without needing to ask about income.

Where there are 2 or more householders, the following question will appear:

*HiHNum*

"You have told me that [names] jointly own or rent the accommodation. Which of you / who has the highest income (from earnings, benefits, pensions and any other sources)?

INTERVIEWER: THESE ARE THE JOINT HOUSEHOLDERS:

*[display of names and person numbers, up to 14]*

ENTER PERSON NUMBER - IF TWO OR MORE HAVE SAME INCOME, ENTER 15

If you code one person, there are no more questions. If two or more householders have the *same income* you enter code 15, in which case you then need to enter the *eldest* at the next screen. (By this stage you will have already recorded ages, in the household grid. If two or more people are the same age in years, you'll need to ask who is the eldest.)

*JntEldA*

"ENTER PERSON NUMBER OF THE ELDEST JOINT HOUSEHOLDER FROM THOSE WITH THE SAME HIGHEST INCOME.

ASK OR RECORD."

If you had entered 'Don't know' or 'Refuse' to the first question about who has the highest income, this screen appears:

*JntEldB*

"ENTER PERSON NUMBER OF THE ELDEST JOINT HOUSEHOLDER.

ASK OR RECORD."

**What if the person who owns/rents the accommodation is outside of the household?**

In a very small number of cases the accommodation can be owned/rented solely by someone outside the household, and so only Code 97 is coded at '*Hholder*'. We **must** have a resident household reference person (HRP) so in such cases an additional question is asked:

*WhoResp*

Although you have mentioned that the rent or mortgage for this property is paid by someone outside of the household, there needs to be someone within the household who is responsible for this property. Who, in this household, is responsible for the accommodation?

Anyone else? CODE ALL THAT APPLY

The routing for the following questions (HiHNum etc) will then proceed as normal based on this information. However a positive change for this year is that if the only householder lives outside the household (Hhlder = 97) then any missing values in the Household Schedule (DK/Ref at critical Amt questions) will **NOT** be counted in forcing a partial or refusal outcome, as this is unfair.

### Changes to descriptions of dwellings (QAccomDat)

'Harmonisation' changes across all the large government surveys are required for descriptions of dwelling or housing types. Changes are as follows:

<b>MainAcc</b>	INTERVIEWER: IS THE HOUSEHOLD'S ACCOMMODATION... CODE ONE ONLY 1. a house or bungalow 2. a flat or maisonette 3. a room or rooms 4. or something else?
<b>TypeAcc</b>	Is the house/ bungalow: 1. detached 2. semi-detached 3. or terraced/end of terrace?  Is the flat/ maisonette: 4. a purpose-built block 5. or a converted house/ some other kind of building?  Is the accommodation: 6. a caravan, mobile home or houseboat 7. or some other kind of accommodation?  If TypeAcc = 4, 5 (flat, maisonette) or MainAcc=3 (room(s)):
<b>Floor</b>	What is the floor level of this accommodation? CODE ONE ONLY 1. Basement/semi-basement 2. Ground Floor/ street level 3. First floor (floor above street level) 4. Second Floor 5. Third Floor 6. Fourth floor 7. Fifth to ninth floor 8. Tenth floor or higher  Ask all:
<b>Entry</b>	Are there any physical barriers to entry to the house/flat/ accommodation? CODE ALL THAT APPLY 1. locked common entrance

	2. locked gates 3. security staff or other gatekeeper 4. entry phone access 5. none
--	--

### Conventional Households (QAccomDat)

DSS have requested that interviewers be given a bit more guidance on what to classify as conventional/non conventional households. They have reported an increasing number of cases who say they are not a non-conventional household when they look like they should be conventional. This is important because it affects how accurately the DSS can calculate housing costs.

Errors seem to have been occurring in the following circumstances

- cases where the HRP BU are owner occupiers but where they have someone who is effectively a boarder or lodger (that is a second/third benefit unit is paying rent). Most of these should actually be treated as **conventional households** - ie the property is owned in the name of the first benefit unit and the subsequent benefit unit pays rent (presumably to the first person).
- conventional households where tenure has been given as rent free but where the second or third benefit unit in the household receives Housing Benefit. The second or third benefit units are not classified as householders. They should perhaps also be treated as boarders/lodgers of the first benefit unit.

To clarify this a new help screen has been added:

**INTERVIEWERS NOTE: Conventional Households include:**

- tenure is owner occupier and a 2<sup>nd</sup> or 3<sup>rd</sup> benefit unit is paying rent
- tenure is rent free but 2<sup>nd</sup> or 3<sup>rd</sup> BU receives Housing Benefit.
- 2<sup>rd</sup> or 3<sup>rd</sup> BU members paying rent to the householder(s) in BU1 are also named as householders (this is similar to part owned/part rented tenure).

### Landlords and Letting Agents (Block QRenting)

In answer to interviewer queries, and for the purpose of harmonisation, code 7 of Landlord has been amended to read:

7. Another individual, private landlord or letting agency".

A new Show card (D) has also been added.

## **Controlled Tenancies**

National Centre Interviewers raised the issue of where a 'controlled tenancy' (through housing associations, private landlords or letting agencies) should be recorded. The response from the DSS/DETR is as follows:

"... new controlled tenancies have not generally been created since at least the 60s, and in 1980 any remaining ones were converted to REGULATED tenancies. Regulated tenancies is not a category which is coded explicitly in the FRS questionnaire, but is deduced in analysis (on the basis of the tenancy starting before 1989, and not being any of the other available pre-1989 options).

Therefore controlled tenancies can be treated in the same way. Anyone who really has a controlled tenancy should have said they took on the tenancy before 1989, in which case they will only be asked FAIRRENT and possibly OTHWAY - and whether they have a controlled tenancy or not does not have a direct bearing on the answer to these questions.

However, someone could have succeeded to a regulated tenancy after 1989, and because new regulated tenancies could be created right up to Feb 15 1989, this could occasionally crop up in the survey. These should not be too common - only a close relative already living in the accommodation can succeed, and there are only about 150,000 regulated tenancies nationally. If it is a significant loophole in the current surveys changes will be made at a later date."

## **Interest Only Mortgages (Block QOwner)**

Interviewers that there is confusion over the term 'Interest Only Mortgages', and have reported an increasing number of cases where the respondent has mentioned that they possess an 'interest only' mortgage, and NOT an Endowment, PEP, ISA or Pension mortgage.

### **Interest Only Mortgages - Generic Definition**

'Interest Only' is the generic name for anything which isn't a repayment mortgage. Increasingly lenders do not assign a repayment vehicle to a mortgage and leave the repayment of the loan at the end of the term entirely to the borrower. These are Interest Only loans. The obligation to repay the sum at the end of the term is still there, but the lender leaves the arrangements for doing so to the borrower, this may be through an Endowment, PEP, ISA, private investment or Pension arranged with another company.

### **Endowment/PEP/ISA/Pension 'Interest Only' Mortgages**

In cases where payment of an 'Interest only' mortgage is covered by an Endowment, PEP, ISA, Pension - that is where any form of investment exists for the purpose of eventually paying off the loan - code as the correct type at MortType.

There is also a type of loan where interest is charged on a sum released and the repayment is made with the house when the borrower dies (in which case any excess proceeds from the sale would probably go to the beneficiaries).



These are cases where the bank/building society will only charge interest on the amount loaned to the borrower and then, on sale of the property, will reclaim the principal amount loaned. Originally, such mortgages seemed to be targeted towards those in the higher age bracket (pensioners, retired etc), but lately the age band seems to be decreasing, suggesting that the lenders are widening their scope for these mortgages and may consider to do so.

It is important to distinguish this 'different type' of mortgage from Endowment, PEP, ISA or pension mortgages which are technically interest only mortgages.

DSS requirements **(14/11/00, incorporating later comments from ONS/DSS):**

- We need to know what the last interest payment was on the mortgage/loan (MORINPAY/MORINPD)
- should also be checking whether they really are interest only mortgages.
- Could we still ask them MENPOL and ENDWPRIN or are these the questions which were causing offence? (If we did, then it means that these types of mortgage are still getting routed down the same as endowment/PEPs etc which I suppose leaves us where we were, but at least respondents would be able to say they had an interest only mortgage at MORTTYPE).
- Just to note, we'd also need to know whether they had any MPP, but since these questions are routed off tenure, I realise this isn't a problem.

Need more information on Interest only mortgages to be able to determine which questions are relevant. Various Financial services experts (FSA, BSA, and a couple of the big lenders) were unable to provide any more information about 'Interest only' mortgages. It is clear that they are not the same as 'Equity release' schemes - but since they already own their homes they should not be routed to MortType, and are clearly not the type of mortgages that our interviewers have been turning up.

#### Change to MortType

We have added an extra 'other' option at MortType with follow-up questions as for Endowment mortgages.

#### 6. Other (please specify in a note).

You will be instructed to use DK and make explanatory notes if follow-up questions do not appear to be applicable.

#### **Childcare Question (BLOCK QChCare)**

To clarify what we mean by childcare, the question wording has been amended:

**'Care'**

*"Does anyone else normally look after [names of child(ren)] on a regular basis, excluding care for social occasions?"*

DSS confirmed that child care questions will still be asked of working and non-working parents.

### Hours of Help Given or Received (BLOCK Qcare)

Changes to wording here for clarification:

- to clarify why people might need help  
*“people who receive help or support because they have long-term physical or mental ill-health or disability, or problems relating to old age.”*
- to emphasise differences between people living in the household and outside it  
NeedHelp *“Is there anyone **in this household** who receives .....”*

GiveHelp: *“And how about people not living with you: do you/does anyone in the household **provide** any help or support for anyone **not living with you** ..... ”*

- a new Show card with lists of types of social care reordered and amended (M).

### Definition of Health problems

At Block QHealth in the Benefit Unit, there is the same expansion of wording as noted above for NeedHelp.

#### **‘Health’**

"Do you have any long standing physical or mental illness, health problem or disability? By long standing I mean anything that has troubled you over a period of time or that is likely to affect you over a period of time. Please include problems that are due to old age."

## 5 BENEFIT UNIT SCHEDULE

### Employment Status Section (Block: QCurst)

At present those over 70 are skipped straight from Unpaid2 to Retire. Upon recommendation from interviewers, we have added another 'spontaneous' code to 'JobAway', to prevent younger people who have finally 'retired from paid work' from being asked a lot of irrelevant work status questions.

#### **JobAway**

Even though you were not doing paid work, did you have a job or business that you were away from, in the last 7 days ending Sunday the (date/month)?

1: Yes

2: No

3: SPONTANEOUS MENTION: Waiting to take up a new job/business already obtained

4: SPONTANEOUS MENTION : Retired from Paid Employment - only use if clear that respondent has no wish to be in paid work

If this new code is used, there is also an automatic extra check, just to make sure:  
SIGNAL

"Can I just check, are you looking for work, waiting for a job you have already obtained, or would you like any form of paid employment? "

### Job Descriptions (Block: QJobDes) (replacement page 15)

#### **Manager/ supervisors**

For the 2001 census an update to the occupational classification coding system (SOC2000) has been developed by ONS. The changes to the way social class is derived means that the questions needed to collect the necessary information for coding have changed slightly. The existing question "Manage" which asked whether the respondent was a manager, foreman/ supervisor or neither has been dropped and replaced with the following questions:

<b>RspOth</b>	<p>"In your job do you have formal responsibility for supervising the work of other employees? DO NOT INCLUDE PEOPLE WHO ONLY SUPERVISE: - children, e.g. teachers, nannies, childminders - animals - security or buildings, e.g. caretakers, security guards": (Yes,No)</p>
---------------	--

- and ONLY IF YES, we ask:	
<b>SVDesc</b>	“Please describe the type of responsibility you have for supervising the work of other employees. INTERVIEWER: PROBE FOR WHO AND WHAT IS BEING SUPERVISED”

### Job Title

At ‘Title’ which asks “What was your (main) job last week? ENTER JOB TITLE.”

### There is a new help screen:

HELP <F9>

“REFERENCE PERIOD: MAIN job in reference week or last job if ever worked.

DEFINITION OF MAIN JOB: respondents with more than one job should decide themselves which is their main job. Only if they are unable to do so should the LFS criterion be applied: the job which was the largest number of hours.”

### Working

An additional prompt has been added to the question ‘Working’ :

*“Were you working last week?”*

Please use this to clarify if respondents are unsure what the question is asking.

### Numbers of employees

In line with government harmonisation requirements, we have also expanded the categories at the number of employees at the place of work for employees (Numemp) and self employed people (EmpAny). The questions have also changed so that they should be read as a running prompt, reading out the answer options to the respondent.

<b>NumEmp</b>	How many people work (worked) for your employer at the place where you work (worked)? Are/ were there... 1. 1-24, 2. 25-499, 3. or 500 or more?
<b>EmpAny</b>	How many people did/do you employ at the place where you work (worked)? Are/ were there... 1. 1-24, 2. 25-499, 3. or 500 or more?

At **NumEmp** and **EmpAny** there are **new help screens <F9>**. Below is shown the text for the NumEmp help screen, the one for EmpAny is slightly different. Press <F9> at that question to see the text.

HELP <F9>

"We are interested in the size of the local unit of the establishment at which the respondent works but we only want the number of employees working for the **same employer** as the respondent. Thus at sites shared by several organisations we would not include all employees - just those working for the respondent's employer.

The 'local unit' is considered to be the geographical location where their job is mainly carried out. Normally this will consist of a single building, part of a building, or at the largest a self-contained group of buildings.

It is the total number of employees at the respondent's workplace that we are interested in, not just the number employed within the particular section or department in which he/she works."

### **Payment in Kind - Company Cars (Block QEmpJob)**

We are now including company vehicles as a form of payment from employers:

**InKind**            9. Company vehicle.

We also refer to vehicles rather than cars in the reinstated 'Vehicle ownership' questions, as noted above.

### **New Tax Credit Block (QTaxCred)**

Working Families', Disabled Person's and the new Children's Tax Credits into a separate block. An independent Tax Credit block simplifies the routing and checks needed, as well as avoiding having to ask the questions more than once, which many people found repetitive

For this year's questionnaire, there are also two new things to note about the Tax Credit questions themselves:

1. Possibility of receiving both regular and lump sum WFTC or DPTC
2. The new **Children's Tax Credit**.

### **Children's Tax Credit (CTC)**

#### **What is CTC?**

CTC is a new income tax relief for people with children. It can reduce the amount of income tax paid by parents by up to £520 a year or £10.00 a week.

**Starting date:** 6 April 2001

**CTC can be claimed:**

by married or unmarried couples or by single parents who have their own child, a stepchild, adopted child or a child they look after at their own expense who is under 16 at the start of the tax year and lives with them for at least part of the tax year.

If someone else can also claim for the same child, they may share the tax credit with him/her.

**How can it be claimed:**

People who pay tax under PAYE and previously received either the Married Couples Allowance or the Additional Personal Allowance in their tax code, will automatically be sent a claim form in late June

People who are self employed or claim an annual repayment will only be able to claim CTC after April 2002 on their self assessment tax return form or annual repayment form.

**Rules**

- only one credit for each family (ie not per child)
- couples living together can only have one credit between them
- income related but only if higher rate taxpayer (individual income >£32,7850) .  
The credit of £442 is reduced at the rate of £1 per £15 of income taxed at the higher rate. (ie unlikely to receive any credit if income is over £41,000)

**Who claims?**

- if neither partner is higher tax payer then either can have the whole credit or share it between them
- if there is a higher tax payer must be claimed by them
- if can't agree it is decided for them

Because this can be claimed by both partners - potentially one as an employee and one self employed (or not working at all) - will need to be asked in both Benefits and Employment for both partners. THEREFORE don't think CTC can be put in same questions as WFTC/DPTC because of confusion over routing if receiving more than one type of benefit. Separate new questions will be needed to cover CTC - asked initially of both partners in the employment block if they are employees, and then again in benefit block for both (if they didn't say they got it in employment)

**Situation of New 'QTaxCred' block**

The new Block (QTaxCred) is situated before the Travel to Work questions (QTravel) and immediately after Self-Employed Earning (QSelfJob).

For people who were employees it is immediately after the Employee Pay Details (QEmpJob) allowing continuation of reference to wage/self-employed documentation.

**Routing Requirements:**

Initial question asked separately for each adult in the benefit unit - but for all adults (whether or not partners have already recorded it).

People who answer that they are receiving any of the three then go on to be asked the relevant follow-up questions for that Credit (with a check to make sure they could not be receiving both WFTC and DPTC)

All checks currently made could be included, but would be greatly simplified by only asking the questions once for each adult.

**Contents**

**TaxCred**

**“SHOW CARD X @/**

Are you at present receiving any of these Tax Credit payments in your own right? Please include any lump sum payments received in the last six months.

@/

@/CODE ALL THAT APPLY"

1. Working Families' Tax Credit
2. Disabled Person's Tax Credit
3. Children's Tax Credit
4. (None of these)

**SOFT checks**

**To prevent people receiving both WFTC and DPTC**

**SIGNAL**

It is not usual for both WFTC and DPTC to be received by the same person. Please check and record only the Tax Credit currently being received. If eligible for DPTC this would normally take precedence.

**Children's Tax Credit - If no child aged under 16 in household**

**SIGNAL**

Children's Tax Credit is normally only paid if there is a child in the household. The computer is asking me to check did you have a child in the household who was under 16 in April 2001, or do you have a child who normally stays with you but is not here at present?

IF TaxCred = 1 (WFTC) ASK

**WFTCLum**

" Do you receive your Working Families' Tax Credit as a lump sum (covering 26 weeks) or as a regular payment?":

Code one of:

1. Lump Sum
2. Regular payment
3. Received both in last 6 months

IF WFTCLum = 3 (Both) ASK

**WFTCboth** "Which payment method was the most recent?":  
Code one of:  
1. Lump Sum  
2. Regular payment

IF TaxCred = 2 (DPTC) ASK

**DPTCLum** " Do you receive your Disabled Person's Tax Credit as a lump sum (covering 26 weeks) or as a regular payment?":  
Code one of:  
1. Lump Sum  
2. Regular payment  
3. Received both in last 6 months

IF Both DPTCLum = 3 (both) ASK

**DPTCboth** "Which payment method was the most recent?":  
Code one of:  
1. Lump Sum  
2. Regular payment

### **SOFT Checks**

**To prevent a regular payment which has stopped before the interview date being recorded:**

SIGNAL

Interviewer please check whether respondent has received WFTC as a regular payment in the last 4 weeks. If 'Yes' then Suppress. IF 'No', and no lump sum payment received in last 6 months, remove the WFTC code from TaxCred.

**Same check for if DPTCLum = 2 (continuous)**

For each recorded WFTC, DPTC or CTC:

(NOTE – order of questions are How paid, Amt, Pd, then Cont. for each Tax Credit in turn)

**HowTax** (Thinking of the last ^taxcred payment) How was ^TaxCred paid to you? PROMPT AS NECESSARY TO CLASSIFY

Code one of:

- 1: In wages/pay packet
- 2: Order book (cashed at Post Office)
- 3: Giro cheque
- 4: Direct to bank/building society account
- 5: Other <DESCRIBE IN A NOTE>

If the credit is paid by 'other' method, the next question will be the amount received.



SIGNALS (IF Howtax = 1)

- Both partners cannot receive payments in pay packet.
- If respondent not working they cannot receive in pay packet

IF HowTax=2 (order book):

- BookCard** [^TaxCred]: Do you have the [order book] / [receipt (from the Post Office)] to hand, so you could consult it?
- 1: Yes, consulted now
  - 2: To be consulted later
  - 3: Respondent unwilling/refused
  - 4: Held by non-household member
  - 5: Unable to find it
  - 6: Other reason for not consulting <DESCRIBE IN A NOTE>

If coded 2, 3, 4, 5 or 6 the questions move on to the amount of benefit received. If coded 2 there will be a reminder (OBRemind) at the end of the questionnaire that the book is to be consulted and the details entered here later.

If Bookcard = 1 :

- OrdBklt** [^TaxCred]PLEASE ENTER ORDER BOOK (CARD VOUCHER) IDENTIFYING LETTERS
- 1: BB – Working Families' Tax Credit
  - 2: CC – Disabled Person's Tax Credit
  - 3: XX - Children's Tax Credit
  - 4: Other (make a note)

Soft Check If an unlikely order book letter is entered:  
*[^TaxCredit] is not usually received on this order book number. Ask respondent to check FRONT PAGES of book, for Tax Credit name(s). If correct, however, suppress warning and make a note.*

IF paid direct to bank/building society) the questions TaxLettr to ConDisp follow.

- TaxLettr** ^TaxCred:  
Do you have a letter from the Inland Revenue that you could consult?
- 1: Yes
  - 2: No (Include unwilling)

[IF TaxLettr = Yes, do not ask TaxStmnt ]

IF NO

- TaxStmnt** [^TaxCred]: ASK OR CODE: ... or is there a bank statement you could consult?

- 1: Yes
- 2: No (Include unwilling)

IF HowTax = 1 (paid in wages) and Q.Empjob.Payslip=1 or 2 (new or old payslip consulted for pay details THEN

**TaxPay**      [^TaxCred]: ASK OR CODE: ... could you consult your  
payslip for the details?  
1: Yes  
2: No (Include unwilling)  
3: Details not shown on payslip

(For each recorded WFTC, DPTC or CTC:)

**WFTCAmt/  
DPTCAmt/  
CTCAmt**      How much was included for ^TaxCred payment?

**WFTCPd/  
DPTCPd/  
CTCPd**      How long did this cover?

**ContWFTC**      For how long have you been in CONTINUOUS receipt of ^TaxCred?  
LUMP SUM OR MONTHLY PAYMENT - ENTER TOTAL NUMBER  
OF WEEKS RECEIVING BENEFIT.

INTERVIEWER: PERIOD OF CONTINUOUS RECEIPT MAY  
EXTEND BEYOND 12 MONTHS AND INCLUDE RECEIVING  
FAMILY CREDIT, WFTC (WHICH REPLACED FC FROM  
OCTOBER 1999), AND FAMILY INCOME SUPPORT, WHICH  
PRECEDED FC.

**ContDPTC  
ContCTC**      For how long have you been in CONTINUOUS receipt of ^TaxCred?  
LUMP SUM OR MONTHLY PAYMENT - ENTER TOTAL NUMBER  
OF WEEKS RECEIVING BENEFIT.

SIGNAL on CTC  
New Tax Credit, so can only receive for up to 52 weeks between Apr  
2001-Mar 2002

**SOFT Checks**

**To prevent a lump sum wrongly being coded as a continuous payment:**

If 26 week is entered at WFTCPd or DPTCPd, but the answer at WFTCLum or  
DPTCLum is 2. (regular payment):

SIGNAL

Please check: is Working Families' Tax Credit /Disabled Person's Tax Credit a LUMP SUM payment (ie. covering 26 weeks)? If so, return to '\*\*\*\*Lum' and recode as a Lump Sum payment or Both.

IF WFTCLum = 1 or DPTCLum = 1 (lump sums), period likely to be 26 weeks

**SIGNAL**

Please check: if Working Families' Tax Credit /Disabled Person's Tax Credit are LUMP SUM payments they most likely cover 26 week periods). If not, return to '\*\*\*\*Lum' and recode as a Regular payment or 'Both'.

IF TaxCred is (WFTC) or (DPTC) ASK

**CCTC** Does this include a Child Care Tax Credit to help pay for child care expenses?

**SOFT check**

**To check that eligible to receive a childcare tax credit (if neither adult in BU is in paid work):**

**SIGNAL**

Childcare Tax Credit is normally only recorded if both partners are in paid work, or one is in work and the other incapacitated. Please check and record in a note.

ASK ALL - but remove codes previously given at TaxCred

**'TaxFut'** : "SHOW CARD X@/  
Are you awaiting the outcome of a claim for any of the Tax Credits shown on this card?"  
Working Families' Tax Credit  
Disabled Person's Tax Credit  
Children's Tax Credit  
(None of these)

SIGNAL {IF TaxCred =1 (WFTC) and TaxFut = 1

Present receipt of Tax Credit payments take precedence over future claims. Please delete code at TaxFut.

**We also have the following checks between partners ....**

**Check on WFTCLum**

IF the first partner of a couple interviewed in the same BU says they are receiving WFTC **or** DPTC as a regular payment (WFTCLum = 2 **or** DPTCLum = 2) , then their partner **CANNOT** also receive this as a regular payment, **BUT** either partner could still have received an arrears lumpsum payment (WFTCLum = 1 **or** DPTCLum = 1).

**Check on TaxCred for DPTC**

IF the first partner of a couple interviewed in the same BU says they are receiving DPTC (TaxCred = 2) , then their partner CANNOT also receive this tax credit because only the eligible disabled person her/himself can receive the payment. However, this same beneficiary could have received both a regular payment and an arrears lumpsum payment ( DPTCLum = 3).

**Check on CTCAmt and CTCPd:**

Both partners can receive it, but total should be no more than £520 for 1 year. There is a range check applied here.

## **Pensions and State Benefits**

There are also a number of minor changes to pensions and benefits questions – mostly the inclusion of a few new items to choose from, most of which have follow-up questions too.

### ***Stakeholder Pensions (QPens)***

There is a change the current pension questions to accommodate new **stakeholder pensions**.

#### **SHOW CARD Y**

##### **EmpPay**

Now I have some questions about your pension arrangements. Are you [or your employer] paying contributions to any of the pension arrangements shown on this card?

**INTERVIEWER, READ OUT AND PROMPT EACH ITEM; CODE 1 & 2 IF BOTH PERSONAL & COMPANY APPLY, CODE 2 & 3 IF COMPANY & STAKEHOLDER APPLY ETC**

1. A personal or private pension fund, or retirement annuity
2. A company or occupational pension scheme run by my employer

**3. A stakeholder pension scheme fund**

4. None of these

New follow-up questions for Stakeholder pensions are:

SPDat, SPCon, SPHelp, SPPay, SPPd, SPMort and SPDSS.

Also, Stakeholder pensions

(1) may be contracted in or out of SERPS, so we need to ask SPDSS for stakeholder pensions as well as personal pensions.

(2) can be regarded as a type of PENSION mortgage (at MortType in Block Qowner), so SPMort is asked to cross-check between payments into a PENSION mortgage at MortType and details at SPPay.

### ***New Deal for Over 50's (Block QBenefit)***

There is a new question after Ben1Q for those who are aged over 50, working (as an employee or self employed, but who were out of work before their current job .

#### **NDeal**

"Are you currently receiving payment as part of the New Deal for over 50's?"

(Yes, No)

This is followed up with the usual HowBen, BenAmt & BenPd questions.

### ***Shared occupational or personal pensions following divorce***

Legislative changes came into force for couples who instigate divorce proceedings from Dec 1 2000. These involve sharing of occupational or personal pension incomes between partners after divorce, as part of asset & income settlements.

#### SHOWCARD GG

##### **'AnyPen'**

*Are you at present receiving an income from any of the sources shown on this card?*

### **7. A share of an employee or personal pension from an ex-spouse/partner**

This new ex-partner pension option is then followed-up by the questions: PenPay, PenPd, PenTax, PTAmt, PTInc.

### ***Changes to Ben1Q and Ben5Q (Block : QBenefit)***

#### ***Widows' Pensions and Bereavement Payments***

Changes made by the Welfare Reform and Pensions Act 1999 mean that from April 2001 there will be a number of reforms to Widows Benefits.

From April 2001, Widows Benefits will be replaced by Bereavement Benefits for new widows and (for the first time) widowers. The features of the new system are as follows;

- New widows and widowers (ie. those becoming widowed from April 2001 onwards) will be eligible for an increased £2,000 lump sum Bereavement Payment;
- Widowed Mother's Allowance will be extended to fathers and renamed Widowed Parent's Allowance. Payments to widowed fathers will be retrospective, in the sense that although payments will only start from April 2001, they will be paid to both existing and new widowed fathers with dependent children. As with Widowed Mother's Allowance, there will be both basic and SERPS elements payable (and additions for each dependent child).
- For those widowed after April 2001, Widow's Pension will no longer be payable (though existing widows will continue to receive it). Instead there will be a new benefit, Bereavement Allowance, which will be time-limited and paid for one year only. In addition, the SERPS element will no longer be payable. Bereavement Allowance will be payable to both widows and widowers widowed when they are aged 45 and over, and there will continue to be an age-related scale for those widowed aged 45-55.

As with Widow's Benefits, all these benefits are contributory, taxable, and subject to normal income-related benefit rules (subject to the changes described below) and are paid on the basis of the contributions of the deceased spouse.

We have to ensure that questions about benefits relating to widows are asked of widowers as well, and that the terminology used in the questions is changed to reflect the new arrangements. Obviously people may be on the current Widows' benefits beyond April 2001, so we have extended the questions to cover both the old and the new arrangements.

So, there has been a change to questions **Ben1Q** as follows (and to **Ben5Q** below):

**'Ben1Q'** SHOW CARD AA

Looking at this card, are you at present receiving any of these state benefits in your own right: that is, where you are the named recipient?

1. Child Benefit
2. Guardians Allowance
3. Invalid Care Allowance
4. Retirement Pension (National Insurance) or Old person's pension
- 5. Widow's Pension, Bereavement Allowance or Widowed Parent's (formerly Widowed Mother's) Allowance**
6. War Disablement Pension or War Widow's Pension (any any related allowances)
7. Severe Disablement Allowance
8. None of these

The follow-up question is also changed:

**'Wid'**

INTERVIEWER: ASK OR RECORD WHICH ONE WAS RECEIVED:

- 1 Widow's Pension
- 2 Widowed Mother's Allowance
- 3 Bereavement Allowance
- 4 Widowed Parent's Allowance

**Winter Fuel Payment**

Winter Fuel payment details will be collected now, and details of back payments to men, made necessary by the lowering of age of eligibility to 60 for both sexes.

So, there are actually 2 changes to question **Ben5Q**:

- Widow's Payment or Bereavement Payment
- Winter Fuel Payment

**'Ben5Q'**: In the past 6 months, have you received any of the following in your own right?

1. social fund - funeral
2. Social fund - maternity
3. Social Fund loan
4. Back to Work Bonus
5. Extended payment
- 6. Widow's Payment or Bereavement Payment**
7. Child Maintenance Bonus
8. Lone Parent's Benefit Run On

**9. Winter Fuel Payment**

- 10. Any other
- 11. None of these

**Winter Fuel Payment follow-up**

- has an age criteria check, to prevent being coded for someone aged under 60 years.
- if the payment is reported to be £200 or higher, is followed up by a SIGNAL:  
"Payments are not normally higher than around £150 per year. Please check the amount".
- if the payment received really is higher than £200, we ask an additional question:  
**'BackWF'** "Is this a backdated claim?" (Yes,No)

**Adults' Savings and Investments (Block : QAdint)**

**Accounts**

There is continuing confusion with some respondents about the definition of current versus savings accounts.

<b>AnyAcc</b>	Now there are some questions about accounts with banks, building societies, the post office, supermarkets, or other organisations. These could also be internet or telephone banking facilities.  <b>Do you have now, or have you had at any time in the last 12 months, any accounts? This could be in your own name only, or held jointly with someone else.</b>
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The Help screen is also available now at both 'AnyAcc' and 'Accounts' questions.

**Share Clubs**

Another type of investment is becoming more popular, that is membership of "Share Clubs". Therefore we have an extra code for 'Member of Share Club' at the question

**'Invests'**

*"Do you have now, or have you had any time in the last 12 months, any money in any of the investments shown on this card?"*

**7. Member of Share Club**

- with follow-up questions for account interest (AccInt) and tax on interest (InvTax).



## 6 ADMIN BLOCK

### Multi-Households – Scotland only

For Scottish addresses, two extra questions will be included after HHSel in the Admin. block of the questionnaire, as follows:

PreSel sample IF Yes, MOCCount	"Did you use a pre-selected multi-occupancy sheet to sub-households at this address?: (Yes,No)  "What was the PAF MO count at this address?": 0..98
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### Non-response form changes

**As with the Household schedule, there are some 'Harmonisation' changes to the questions describing respondents' dwellings.**

<b>Dwelltyp</b>	<p>CODE TYPE OF ACCOMMODATION? CODE ONE ONLY</p> <ol style="list-style-type: none"> <li>1. Detached house or bungalow</li> <li>2. Semi-detached house or bungalow</li> <li>3. Terrace/ end terrace house or bungalow</li> <li>4. Flat or maisonette – purpose built</li> <li>5. Flat or maisonette – part of a converted house/ some other kind of building</li> <li>6. Room or rooms</li> <li>7. A caravan, mobile home or houseboat</li> <li>8. some other kind of accommodation</li> </ol> <p>If DwellTyp = 4, 5, or 6 (Flat, maisonette or room(s))</p>
<b>FloorN</b>	<p>What is the floor level of this accommodation? CODE ONE ONLY</p> <ol style="list-style-type: none"> <li>1. Basement/semi-basement</li> <li>2. Ground Floor/ street level</li> <li>3. First floor (floor above street level)</li> <li>4. Second Floor</li> <li>5. Third Floor</li> <li>6. Fourth floor</li> <li>7. Fifth to ninth floor</li> <li>8. Tenth floor or higher</li> <li>9. Don't know</li> </ol>
<b>EntryN</b>	Are there any physical barriers to entry to the house/flat/

	accommodation ? CODE ALL THAT APPLY 1. locked common entrance 2. locked gates 3. security staff or other gatekeeper 4. entry phone access 5. none
<b>NREth</b>	Do you know or think the occupants are: CODE ALL THAT APPLY 1. White 2. Mixed 3. Asian (Indian, Pakistani, Bangladeshi, other) 4. Black (Caribbean, African, other) 5. Chinese and other ethnic group 8. Don't Know

### New Standard Outcome Codes

A major revision to the outcome codes is being adopted by the large government surveys and across projects (with project-specific variations) at the *National Centre*.

This has 5 main implications for FRS interviewers:

1. Outcomes recorded on address record forms (**'ARF' for NatCen interviewers**) have been substantially revised.
2. The printed guide to **Admin Instructions** for FRS has been updated.
3. **Productive Outcome Codes** are now automatically calculated by the computer program
4. **Non-productive Outcome Codes** have been substantially modified in the Admin block.
5. The facility to code outcomes much more exactly, taking account of things like proxy versus personal interviews, unknown eligibility and lost interviews.

### IMPORTANT

Rather than reproduce all the new codes and their definitions here in this guide, please **study the documentation provided in the Admin Instructions (& ARF)**.

Also take your time to **study the new codes in the actual admin block of the interview**, when filling in the outcomes for your first few FRS interviews.

### ONS Census Comparison Block

The Office for National Statistics (ONS) has developed a generic census comparison questionnaire to be used across all surveys, with a view to producing information which can be used to measure non response against the next census of population. The census will be carried out in April 2001, so comparison data should be collected during April-May 2001 by all the major government surveys, including FRS.

Although in some ways this Census Comparison is a separate exercise from the main FRS interview, we need to systematically collect this extra information for all eligible FRS addresses (so only excluding deadwood) during April and May 2001.

Therefore, to avoid missing the additional information for any households, it has been decided that it should be entered onto a paper form while at the address and then keyed in, after recall and non-response questions in a separate block at the very end of the FRS Admin section.

MARK – wording here??

##Interviewers will be paid for this job separately from the FRS, even though the answers are entered into the laptop program at the end of the FRS Admin block.

## How much detail to include here?

## **7 SHOW CARD AMENDMENTS IN DETAIL**

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# 2001-02 Family Resources Survey

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Public Release 25<sup>th</sup> November 2002

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## Background

The 2001-02 Family Resources Survey dataset contains the information collected between April 2001 and March 2002 from 25,320 households in Great Britain.

We have also released all years of the FRS, 1994-95 through to 2001-02. The reason for re-release of all earlier years is due to the need to include a new interim grossing factor across the available timeseries. Further details are available on

<G:\PUBLIC\frs\Documentation\General\docscontents.html>

A presentation on the new dataset will be held on 9 December at 11am in Rm 123 Adelphi.

## Where is the data?

There are two versions of the FRS dataset from 1994-95 to 2001-02; a fully edited version called Release 1 and an unedited version called Release 0. These are both available in either Hierarchical or Flatfile format. They are stored on both London Unix boxes.

STANDARD directories

	Release 1 (edited)	Release 0 (unedited)
Londu03	/data1/frs0102/frs0102a /data1/frs0001/frs0001d /data1/frs9900/frs9900e /data1/frs9899/frs9899f /data1/frs9798/frs9798f /data1/frs9697/frs9697f /data1/frs9596/frs9596g /data1/frs9495/frs9495q	/data1/frs0102/frs0102r0 /data1/frs0001/frs0001r0 /data1/frs9900/frs9900r0 /data1/frs9899/frs9899r0 /data1/frs9798/frs9798r0 /data1/frs9697/frs9697r0 /data1/frs9596/frs9596r0 /data1/frs9495/frs9495r0
Londu02	/repdata/sas_surveys/frs/frs0001/frs0102a /repdata/sas_surveys/frs/frs0001/frs0001d /repdata/sas_surveys/frs/frs9900/frs9900e /repdata/sas_surveys/frs/frs9899/frs9899f /repdata/sas_surveys/frs/frs9798/frs9798f /repdata/sas_surveys/frs/frs9697/frs9697f /repdata/sas_surveys/frs/frs9596/frs9596g /repdata/sas_surveys/frs/frs9495/frs9495q	/repdata/sas_surveys/frs/frs0001/frs0102r0 /repdata/sas_surveys/frs/frs0001/frs0001r0 /repdata/sas_surveys/frs/frs9900/frs9900r0 /repdata/sas_surveys/frs/frs9899/frs9899r0 /repdata/sas_surveys/frs/frs9798/frs9798r0 /repdata/sas_surveys/frs/frs9697/frs9697r0 /repdata/sas_surveys/frs/frs9596/frs9596r0 /repdata/sas_surveys/frs/frs9495/frs9495r0

LATEST directory

The new LATEST directory stores the latest available edited data for 1994-95 to 2001-02;

Lond03	Lond02
/data1/latest/frs0102 /data1/latest/frs0001 /data1/latest/frs9900 /data1/latest/frs9899 /data1/latest/frs9798 /data1/latest/frs9697 /data1/latest/frs9596 /data1/latest/frs9495	/repdata/sas_surveys/frs/latest/frs0102 /repdata/sas_surveys/frs/latest/frs0001 /repdata/sas_surveys/frs/latest/frs9900 /repdata/sas_surveys/frs/latest/frs9899 /repdata/sas_surveys/frs/latest/frs9798 /repdata/sas_surveys/frs/latest/frs9697 /repdata/sas_surveys/frs/latest/frs9596 /repdata/sas_surveys/frs/latest/frs9495

If you experience any problems or have any questions please contact one of the FRS team.

### Where is the documentation?

When using the dataset you are advised to check the accompanying documentation that is available on the FRS linkpage:

<G:\PUBLIC\frs\index.html>

We are aware that users of window2000 are currently experiencing problems in accessing the FRS documentation. This is under investigation and will hopefully be resolved soon.

### Contacts

If you require any further information or have any comments please contact one of us in the FRS team.

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# 2001-02 Family Resources Survey

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Version B: Public Release 17<sup>th</sup> February 2003

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## Summary of Changes

Changes between the last dataset, 2001-02a, released on 9th December 2002, and the 2001-02b version, released on 17th February 2003.

- **Removal of the Children Tax Credit indicator (TAXCRED3)**

Due to large under-reporting identified by Inland Revenue, information on CTC has been removed from the Survey.

- **Imputation of Winter Fuel Payments.**

In line with a recommendation from the HBAI review the FRS has adopted their methodology to impute winter fuel payments.

- **Penprov Table**

Responses to the question STAKEP, which was asked about stakeholder pensions held by those over 65 or who had never worked, were included on the Adult table, but not on the PENPROV table. Six records were therefore added to the PENPROV table, bringing the number of stakeholder pension records captured to 260.

The PENPROV table contained a number of completely blank personal pension records, which meant the table was being presented in a flatfile-style format. This could be confusing for users and these rows have therefore been removed.

Routing for the EMPPAY and STAKEP questions has been corrected, meaning there are no longer any skipped EMPPAY 3 records.

PPNUMC and EPCUR have been deleted from the PENPROV table. These are adult level variables, and therefore should only appear on the Adult table. Previous skipped records are now recorded as 'No'.

- **Ethnicity**

For the 2001-02 FRS publication we are adopting the harmonised Level 1 National Statistics categories for ethnic groups. The publication derived variables, BUETHGRP and HHETHGRP, have been updated to reflect this. Harmonising our ethnicity definition necessitates the combination of the current Indian and Pakistani/Bangladeshi categories and Other Asian Background into an Asian category. We have also amended the ordering of the categories.

1	White
2	Mixed
3	Asian or Asian British
4	Black or Black British
5	Chinese or Other Ethnic group

- **Grossing Factor**

GROSS1 has been refined to include weights for multi-occupancy addresses.

- **Ad Hoc Editing**

Since the public release of the 2001-02 FRS a few cases have been identified that require editing.

2400401181 Net Pay adjusted for Tax Credit receipt  
500501231 Net Pay adjusted for Tax Credit receipt  
1400201201 Change in indicator for 'How Tax Credit Paid' from 'Through Wages' to 'Other'.  
1907401021 Person3 – Child Earnings (CHAMTERN) imputed from missing.

### **Summary of Derived Variable Changes**

- **Children's Tax Credit (TAXCRED3)**

Removing information from TAXCRED3 has had a negligible effect on:

NINEARNS

Previously, Children's Tax credit was taken off from NINEARNS. NINEARNS code uses TAXCRED3 and if TAXCRED3=1 then an adjustment is made to NINEARNS.

Prior to changing the TAXCRED3 there were 1133 cases where TAXCRED3 was 1.

- **Winter Fuel Payments (BENEFIT=62)**

Modelling WTP has negligible effect on the following DVs for Pensioners:

INOTHBEN	INDINC	BUINC	INNIRBEN	HHINCBND
BUOTHBEN	HDINDINC	HHINC	HHNIRBEN	HBENINC
HHOTHBEN	HDHHINC	NINDINC	BUNIRBEN	

- **Ethnicity**

Harmonising the ethnic groups have changed:

HHETHGRP  
BUETHGRP

- **Working Families Tax Credit**

Edits to PAYAMT and HOWTAX has had a negligible effect on:

INEARNS  
NINEARNS  
BNTXCRED

- **Child Earnings**



Imputing the one case had negligible effect on:

CHEARNS  
CHINCDV

## Changes to the FRS data series

1996-97 to 2001-02

25<sup>th</sup> November Release

The FRS series from 1996-97 through to 2001-02 has been reissued to take on board harmonisation and updates to derived variables and minor data changes.

### 2001-02 Release C: Changes since Release B

#### Summary

No.	Change	Reason
1.	Deprivation Band Indicator removed for non-English Local Authorities.	Deprivation Band information is not available for non-English authorities.
2.	Amendment of travel to work costs to use dual mileage rates.	Harmonisation
3.	Family Type (FAMTHBAI) definition adjusted to be in line with HBAI definition introduced in 2001-02.	Harmonisation
4.	Correction made to usual Gross pay to stop double counting deductions.	Error in derived variable code since 1999-2000
5.	Include Back to Work Bonus and Child Maintenance Bonus in benefit income.	Incorrectly removed in 2000-01
6.	Correct format for TENTYPE	Error in derived variable formats since 1996-1997

7.	Cases on the adult table that were not weeklyised	Oversight in period code editing for quarter 4 of 2001-02
8.	VAR2 =.b for benefit=14 (JSA)	Missed in editing
9.	Incorrect HOWBEN imputed for benefit=18	Over –zealous editing
10.	Child Maintenance Bonus –cases with inappropriate period code	Editing oversight
11.	Imputation of missing values in PenAmt	Missed in editing
12.	Removal of variable VEHNUMB	Replaced by USEVCL

#### *Details of changes*

Paragraph numbers refer to the edits highlighted in the table above.

1. Misleading Deprivation Band Indicator (DEPBAND) removed for non-English Local Authorities. See 2002-03 Changes documentation for full details.
2. Amendment of travel to work costs to use dual mileage rates (TTWCOST). See 2002-03 Changes documentation for full details.
3. Family Type (FAMTHBAI) definition adjusted to be in line with HBAI definition introduced in 2001-02. See 2002-03 Changes documentation for full details.

4. Correction made to usual Gross pay to stop double counting deductions. (UGRSPAY). See 2002-03 Changes documentation for full details.
5. Undo change made to INIRBEN and INOTHBEN in 2000-01 to removed benefit types 26 and 51 (Back to Work Bonus and child maintenance bonus) and instead include within benefit income and weeklyise the lump sums. This amendment is in line with HBAI treatment
6. In 1996-97 the derived variable for specific household tenure types (TENTYPE) was changed from ten categories to eight. The format attached to this variable was not updated. This has now been corrected for all affected years.
7. There were 11 cases where PareAmt was not weeklyised and one case where NiAmt was not weeklyised in the 2001-02 dataset. This was a result of an oversight in period code editing for quarter 4 of 2001-02.
8. One case missed a VAR2 edit (Income Based or Contributory, response or imputed). Now corrected.
9. During period code editing one case edited to a set period, in error. Transaction now un-applied.
10. Child Maintenance bonuses should be edited into lump sums before release, as this is the only way these can be received. This has now been achieved and all rogue cases have had the benefits amounts converted to the lump sum amount and their period edited to 95.
11. When the Release B of the 2001-02 dataset was released, an oversight in the way data was being supplied for the PenProv table was corrected. This resulted in six additional records being inserted into the PenProv table. Three of these records contained missing PenAmt (amount paid into the pension) amounts, which were not imputed before Release B was released. These three PenAmt values have now been imputed and there are no longer any Don't Know or Refused values for PenAmt.
12. The variable VEHNUMB has been removed from the HOUSEHOL table. This variable was replaced by the variable USEVCL in 2001-02 and although VEHNUMB remained it did not contain any useful information.

2000-01 Release E: Changes since Release D

Summary

No.	Change	Reason
1.	Deprivation Band Indicator removed for non-English Local Authorities.	Deprivation Band information is not available for non-English authorities.
2.	Amendment of travel to work costs to use dual mileage rates.	Harmonisation
3.	Correction made to usual Gross pay to stop double counting deductions.	Error in derived variable code since 1999-2000
4.	Include Back to Work Bonus and Child Maintenance Bonus in benefit income.	Incorrectly removed in 2000-01
5.	Correct format for TENTYPE	Error in derived variable formats since 1996-1997

Paragraph numbers refer to the edits highlighted in the table above.

1. Misleading Deprivation Band Indicator (DEPBAND) removed for non-English Local Authorities. See 2002-03 Changes documentation for full details.
2. Amendment of travel to work costs to use dual mileage rates (TTWCOST). See 2002-03 Changes documentation for full details.
3. Correction made to usual Gross pay to stop double counting deductions. (UGRSPAY). See 2002-03 Changes documentation for full details.
4. Undo change made to INIRBEN and INOTHBEN in 2000-01 to removed benefit types 26 and 51 (Back to Work Bonus and child maintenance bonus) and instead include within benefit income and weeklyise the lump sums. This amendment is in line with HBAI treatment
5. In 1996-97 the derived variable for specific household tenure types (TENTYPE) was changed from ten categories to eight. The format attached to this variable was not updated. This has now been corrected for all affected years.

1999-00 Release F: Changes since Release E

No.	Change	Reason
1.	Deprivation Band Indicator removed for non-English Local Authorities.	Deprivation Band information is not available for non-English authorities.
2.	Correction made to usual Gross pay to stop double counting deductions.	Error in derived variable code since 1999-2000
3.	Correct format for TENTYPE	Error in derived variable formats since 1996-1997

Paragraph numbers refer to the edits highlighted in the table above.

1. Misleading Deprivation Band Indicator (DEPBAND) removed for non-English Local Authorities. See 2002-03 Changes documentation for full details.

2. Correction made to usual Gross pay to stop double counting deductions. (UGRSPAY). See 2002-03 Changes documentation for full details.
3. In 1996-97 the derived variable for specific household tenure types (TENTYPE) was changed from ten categories to eight. The format attached to this variable was not updated. This has now been corrected for all affected years.

1998-99 Release G: Changes since Release F

Summary

No.	Change	Reason
1.	Deprivation Band Indicator removed for non-English Local Authorities.	Deprivation Band information is not available for non-English authorities.
2.	Amendment of travel to work costs to use dual mileage rates.	Harmonisation
3.	Correct format for TENTYPE	Error in derived variable formats since 1996-1997

Paragraph numbers refer to the edits highlighted in the table above.

1. Misleading Deprivation Band Indicator (DEPBAND) removed for non-English Local Authorities. See 2002-03 Changes documentation for full details.
2. Amendment of travel to work costs to use dual mileage rates (TTWCOST). See 2002-03 Changes documentation for full details.
3. In 1996-97 the derived variable for specific household tenure types (TENTYPE) was changed from ten categories to eight. The format attached to this variable was not updated. This has now been corrected for all affected years.

1997-98 Release G: Changes since Release F

Summary

No.	Change	Reason
1.	Amendment of travel to work costs to use dual mileage rates.	Harmonisation
2.	Correct format for TENTYPE	Error in derived variable formats since 1996-1997

**Note: No Deprivation Indicator information was provided with the 1997-98 dataset.**

Paragraph numbers refer to the edits highlighted in the table above.

1. Amendment of travel to work costs to use dual mileage rates (TTWCOST). See 2002-03 Changes documentation for full details.
2. In 1996-97 the derived variable for specific household tenure types (TENTYPE) was changed from ten categories to eight. The format attached to this variable was not updated. This has now been corrected for all affected years.

1996-97 Release G: Changes since Release F

Summary

No.	Change	Reason
1.	Deprivation Band Indicator removed for non-English Local Authorities.	Deprivation Band information is not available for non-English authorities.
2.	Amendment of travel to work costs to use dual mileage rates.	Harmonisation
3.	Correct format for TENTYPE	Error in derived variable formats since 1996-1997

Paragraph numbers refer to the edits highlighted in the table above.

1. Misleading Deprivation Band Indicator (DEPBAND) removed for non-English Local Authorities. See 2002-03 Changes documentation for full details.
2. Amendment of travel to work costs to use dual mileage rates (TTWCOST). See 2002-03 Changes documentation for full details.
3. In 1996-97 the derived variable for specific household tenure types (TENTYPE) was changed from ten categories to eight. The format attached to this variable was not updated. This has now been corrected for all affected years.